

MINUTES OF MEETING EXECUTIVE COMMITTEE

May 21, 2014

A meeting of the Executive Committee was held pursuant to notice on May 21, 2014 at 2:00 p.m. in the Attorney's Conference Room, State Supreme Court Building, 231 Capitol Avenue, Hartford.

PRESENT:

Supreme Court Justice Peter T. Zarella, Superior Court Judge Patrick L. Carroll, III, Chief Court Administrator and Chair, Superior Court Judges Barbara M. Bellis, Elizabeth A. Bozzuto, Harry E. Calmar, Brian T. Fischer, Lisa K. Morgan, Michael E. Riley, Eddie Rodriguez, Jr., Cynthia K. Swinton, Robert F. Vacchelli, Superior Court Judges, Elliot N. Solomon, Deputy Chief Court Administrator and William H. Bright, Jr. attended at the invitation of the Chair.

Call to Order

Judge Carroll called the meeting to order and commented on the importance of the work of the Executive Committee and the burdens that it places on Committee members. He noted that later in the meeting he would open a discussion on revisions in the Committee's procedures that may make the work of the Committee easier while assuring compliance with legal requirements.

Report on Administration of IOLTA

Judge Carroll then introduced Judge Bright who had been invited to address the Committee concerning the administration of the Interest on Lawyers Trust Accounts (IOLTA), the Court Fees Grants-In-Aid and the Judicial Branch Grants-In-Aid programs. Rule 1.15(g)(4)(B) of the Rules of Professional Conduct and the Memoranda of Understanding Concerning the Court Fees and Judicial Branch Grants-In-Aid programs require the Connecticut Bar Foundation each year to submit certain detailed information about the programs to the Executive Committee. The reports of the Connecticut Bar Foundation dated May 21, 2014 were distributed to members via email. The reports are attached to these minutes as Appendix D.

Judge Bright opened his remarks by stating that the Connecticut Bar Foundation administers funding for legal services to the poor in Connecticut from three sources, Interest on Lawyers' Trust Accounts, the Judicial Branch Grants-In-Aid programs and the Court Fees Grants-In-Aid programs. He noted that the IOLTA fund revenues continue to decline to the point where they now provide approximately \$2,000,000 in funding for legal services for people in need. He noted that in 2007, IOLTA fund revenues were approximately \$22,000,000. Fortunately, the revenue from the Judicial Branch Grants-In-Aid Program and the Court Fees Grants-In-Aid program supplemented the funds available for distribution. Approximately \$13,000,000 was generated by the Court Fees Grant-In-Aid Program for legal services for those in need.

Following Judge Bright's report, a motion was made by Judge Bozzuto and seconded by Judge Rodriguez to approve the report. The motion was approved unanimously.

Subjects for Ratification Only

Judge Carroll asked the committee to turn its attention to the "Subjects for Ratification Only" portion of the agenda. A motion was made by Judge Bozzuto and seconded by Judge Rodriguez to ratify the appointments, promotions, and transfers, leaves of absences and miscellaneous actions taken by mail as set forth on pages 1 through 39 of these minutes. The motion was approved unanimously.

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1. <u>Anthony L. Ashley</u> Administrative Assistant	Clerk's Office	Hartford GA 14	\$48,862 (17-1)	5/31/13 (Promo & Transfer from Administrative Clerk I, Hartford JD, (\$38,513, 12-1))	5/10/13
2. <u>Melinda R. Siel</u> Office Clerk	Clerk's Office	Bantam GA 18	\$35,853 (10-1)	5/31/13	5/10/13
3. <u>Patrici C. Gilchrist</u> Office Clerk	95 Washington St.	Hartford JD	\$35,853 (10-1)	6/14/13	5/31/13
4. <u>Daisy A. Velazquez</u> Court Operations Assistant	Clerk's Office	Bristol GA 17	\$50,354 (14-6)	6/28/13 (Transfer from Support Enforcement Assistant, Waterbury, (\$50,589, 17-2))	5/31/13
5. <u>Evelyn S. Ferrer</u> Office Clerk	Clerk's Office	Waterbury JD 300 Grand St.	\$35,853 (10-1)	8/23/13	7/19/13
6. <u>Jinee L. Defeo</u> Administrative Assistant	Centralized Small Claims	Hartford 80 Washington St.	\$48,862 (17-1)	8/23/13 Promo & Transfer from Centralized Infractions Bureau Administrative Clerk I (\$38,513, 12-1)	8/02/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
7. <u>Joseph W. Miller</u> Administrative Assistant	Centralized Small Claims	Hartford 80 Washington St.	\$48,862 (17-1)	8/23/13	8/02/13 Promo & Transfer from Clerk's Office, 101 Lafayette St Administrative Clerk I (\$38,513, 12-1)
8. <u>Vitina M. Sidote</u> Administrative Assistant	Centralized Small Claims	Hartford 80 Washington St.	N/C	8/23/13	8/02/13 Transfer from Court Operations 225 Spring St., Wethersfield
9. <u>Donald L. Acker</u> Administrative Assistant	Juvenile Matters	New Haven 239 Whalley Avenue	\$50,589 (17-2)	9/6/13	8/09/13 (Promo and transfer from New Haven CSSD Intake Assistant (\$47,660, 15-3)
10. <u>Barbara Betancourt</u> Administrative Assistant	Clerk's Office	Meriden GA 7 239 Whalley Avenue	\$54,043 (17-4)	9/6/13	8/16/13 (Promo and transfer from Administrative Clerk II, Waterbury Clerk's Office (\$50,906, 15-5)
11. <u>Kristin O'Donnell</u> Office Clerk	Clerk's Office	Fairfield JD	\$36,929 (10-1)	10/18/13	9/27/13
12. <u>Lauren E. Abelli</u> Office Clerk	Clerk's Office	Norwalk GA 20	\$36,929 (10-1)	11/01/13	10/04/13
13. <u>Vasiliki Gournaris</u> Office Clerk	Clerk's Office	Stamford GA 1	\$36,929 (10-1)	11/01/13	10/04/03

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
14.	<u>Anisa Kutrolli</u> Office Clerk	Clerk's Office	New London JD @ Norwich Clerk	\$36,929 (10-1)	11/01/13	10/04/13
15.	<u>Susan M. Menner</u> Office Clerk	Clerk's Office	Norwich GA 21	\$42,699 (10-6)	11/01/13	10/04/13 Promo and Transfer from Court Recording Monitor, Reporter's Office New London, (\$38,298, 14-1)
16.	<u>Sharon Purcell</u> Office Clerk	Clerk's Office	New London JD	\$36,929 (10-1)	11/01/13	10/04/13
17.	<u>Kathryn VanAllen</u> Office Clerk	Administration	61 Woodland St. Hartford	\$36,929 (10-1)	11/01/13	10/04/13
18.	<u>Brenn, Linda Z.</u> Office Clerk	Clerk's Office	Danbury GA 3	\$36,929 (10-1)	11/15/13	10/18/13
19.	<u>Cruz-Canizares, Milagros</u> Office Clerk	90 Washington St.	Hartford JD	\$36,929 (10-1)	11/15/13	10/18/13
20.	<u>Paul M. Lappen</u> Office Clerk	Clerk's Office	Enfield GA 13	\$36,929 (10-1)	11/15/13	10/18/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
21.	<u>Jaimie L. Moran</u> Office Clerk	Clerk's Office	Hartford GA 14	\$42,699 (10-6)	11/15/13	10/18/13 Promo and Transfer from Court Recording Monitor, Reporter's Office Rockville, (\$38,298, 14-1)
22.	<u>Tower, Jennifer R.</u> Office Clerk	90 Washington St.	Hartford JD	\$36,929 (10-1)	11/15/13	10/18/13
23.	<u>Gretchen Marin</u> Office Clerk	Clerk's Office	Waterbury GA 4	\$36,929 (10-1)	11/15/13	10/25/13
24.	<u>Joann Cackowski</u> Office Clerk	Clerks's Office	Stamford GA1	\$36,929 (10-1)	1/24/14	1/10/14
25.	<u>Patricia J. Inman</u> Administrative Secretary I	Juvenile Matters - Judges	Waterbury 7 Kendrick Ave	\$53,161 (16-4)	2/7/14	1/17/14 (Transfer from Bridgeport Support Enforcement Assistant) (\$53,886, 17-3)
26.	<u>Tara U. Artis</u> Administrative Clerk	Juvenile Matters	Hartford	\$54,808 (13-9)	2/14/14	2/28/14 (Transfer from Support Enforc. Assistant, Hartford to previous position (57,444, (17-05))

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
27. <u>Kosciuk-Borkowski, Dorota J.</u> Administrative Assistant	Court Transcript Svcs	Hartford 90 Washington St.	\$50,328 (17-1)	04/04/2014	2/28/14 (Promo & transfer from Admin. Clerk, Clerk's Office, New Britain (\$42,159, 12-3))
28. <u>Jessica Bianco</u> Office Clerk	Juvenile Matters	Rockville 25 School St.	\$36,929 (10-1)	04/04/2014	3/14/14
29. <u>Adriana S. Botero</u> Office Clerk	Clerk's Office	Stamford GA 1	\$36,929 (10-1)	04/04/2014	3/14/14
30. <u>Kevin J. DiAdamo</u> Office Clerk	Clerk's Office	Bridgeport GA 2 172 Golden Hill St.	\$36,929 (10-1)	04/04/2014	3/14/14
31. <u>Sharay Grier</u> Office Clerk	Clerk's Office	Hartford GA 14 101 Lafayette St.	\$36,929 (10-1)	04/04/2014	3/14/14
32. <u>Jan-Michel Laudun</u> Administrative Clerk I	Juvenile Matters	New Haven 239 Whalley Ave.	\$47,139 (12-7)	04/04/2014	3/14/14 (Transfer from Adm. Clerk II Jury Adm. Wethersfield (47,419, (15-2))
33. <u>Samantha Munson</u> Office Clerk	Clerk's Office	New Britain JD	\$36,929 (10-1)	04/04/2014	3/14/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
34.	<u>Maria Rivera</u> Office Clerk	Clerk's Office	New Britain JD	\$36,929 (10-1)	04/04/2014	3/14/14
35.	<u>Amanda Rodriguez</u> Office Clerk	Juvenile Matters	Bridgeport 60 Housatonic Ave.	\$42,699 (10-6)	04/04/2014 (Transfer from Judicial Marshals, Stamford (\$43,617, 1-2))	3/14/14
36.	<u>Marisol Rodriguez</u> Office Clerk	Jury Administration	Wethersfield	\$36,929 (10-1)	04/04/2014	3/14/14
37.	<u>Lisa Santiago</u> Office Clerk	Clerk's Office	Rockville GA 19 20 Park St.	\$36,929 (10-1)	04/04/2014	3/14/14
38.	<u>Trecia A. Sedlak</u> Office Clerk	Clerk's Office	Litchfield JD	\$36,929 (10-1)	04/04/2014	3/14/14
39.	<u>Kevin P. Smith</u> Office Clerk	Clerk's Office	New Haven JD 235 Church St.	\$36,929 (10-1)	04/04/2014	3/14/14
40.	<u>Justyna Wilczak</u> Office Clerk	Clerk's Office	New Britain JD	\$36,929 (10-1)	04/04/2014	3/14/14
41.	<u>Lusine Abovyan</u> Office Clerk	Clerk's Office	New Haven GA 23	\$36,929 (10-1)	04/04/2014	3/21/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

a. OFFICE CLERKS

Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
42. <u>Kelly D. Buerk</u> Office Clerk	Clerk's Office	Windham JD	\$36,929 (10-1)	04/04/2014	3/21/14
43. <u>David M. Dacruz</u> Office Clerk	Clerk's Office	Fairfield JD	\$36,929 (10-1)	04/04/2014	3/21/14
44. <u>Kevin J. DiAdamo</u> Office Clerk	Clerk's Office	Bridgeport GA 2	\$36,929	04-21-2014 Correction (Start date changed from 04-04-2014)	3/21/14
45. <u>Jennifer L. Dimarzo</u> Office Clerk	Housing Session	Waterbury 300 Grand St.	\$36,929 (10-1)	04/04/2014	3/21/14
46. <u>Sandra L. Farr</u> Office Clerk	Clerk's Office	Danbury JD	\$36,929 (10-1)	04/04/2014	3/21/14
47. <u>Danielle Niederbuhl</u> Office Clerk	Clerk's Office	Meriden GA 7	\$36,929 (10-1)	04/04/2014	3/21/14
48. <u>Michelle Pereira</u> Office Clerk	Clerk's Office	Ansonia/Milford GA 22	\$36,929 (10-1)	04/04/2014	3/21/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS**a. OFFICE CLERKS**

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
49.	<u>Renee Polletta</u> Office Clerk	Juvenile Matters	Waterbury 7 Kendrick Ave.	\$36,929 (10-1)	04/04/2014	3/21/14
50.	<u>Lindsay Merola</u> Office Clerk	Clerk's Office	New London JD	\$36,929 (10-1)	04/21/2014	3/28/14
51.	<u>Holly Y. Pattavina</u> Office Clerk	Clerk's Office	Norwich GA 21	\$36,929 (10-1)	04/21/2014	3/28/14
52.	<u>Ann McCarthy</u> Office Clerk	Clerk's Office	Bantam GA 18	\$36,929 (10-1)	04/21/2014	3/28/14
53.	<u>Edwin Lopez</u> Office Clerk	Clerk's Office	Hartford JD	NC	05/16/2014 Transfer from Administrative Services, Material Mgmt. Rocky Hill	

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

b. CLERKS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Dominque Jean</u> Assistant Clerk, JD/GA	Clerk's Office	Ansonia/Milford JD	\$68,633 (26-1)	8/9/13	7/12/13
2.	<u>Steven R. Lombardi</u> Assistant Clerk, JD/GA	Clerk's Office	Tolland JD	\$68,633 (26-1)	8/9/13	7/19/13
3.	<u>Denice D. Chandler</u> Deputy Chief Clerk for JD Matters	Clerk's Office	NH JD at Meriden Clerk	\$92,409 (31A-1)	8/23/13	7/26/13 Promo from Assistant Clerk JD/GA, (\$86,490, 26-8))
4.	<u>Julie M. Vanam</u> Deputy Chief Clerk II for GA Matters	Clerk's Office	Stamford GA 1	\$100,258 (33C-99)	9/6/13	8/16/13 Promo from Deputy Chief Clerk for Juvenile Matters I (\$95,519, 30C-2))
5.	<u>Oliver Burgos</u> Deputy Chief Clerk for Juvenile Matters 1	Juvenile Matters	Torrington	\$85,286 (30A-99)	9/20/13	8/30/13 Promo from Court Planner II from Juvenile Support Operations, Wethersfield (\$79,973, 27A-1))
6.	<u>Maryann R. Paterno</u> Deputy Chief Clerk for Juvenile Matters I	Juvenile Matters	Stamford	N/C	11/15/13	11/22/13 Transfer from Juvenile Matters, Bridgeport
7.	<u>Mary E. Bargiolo</u> Assistant Clerk	Clerks Office	Hartford JD 95 Washington St.	\$70,692 (26-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

b. CLERKS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
8.	<u>Sabrina Ahmed</u> Assistant Clerk, JD/GA	Clerk's Office	Danbury JD	\$70,692 (26-1)	04/21/2014	3/28/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

c. COURT SUPPORT SERVICES DIVISION

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Frank Jeney</u> Adult Probation Officer II	Adult Probation	Middletown	N/C	5/17/13 (Transfer from Adult Probation, Bristol)	5/10/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

d. COURT REPORTERS/MONITORS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Christine A. Santulli</u> Official Court Reporter 2	Reporter's Office	Waterbury JD	\$85,817 (27-3A)	7/26/13	7/12/13 (Promo & Transfer from Official Court Reporter I, Ansonia/Milford Reporter's Office, \$81,742, 26-3A)
2.	<u>Bonnie A. Larosa</u> Official Court Reporter 2	Reporter's Office	New Britain JD	\$71,207 (27A-97)	8/9/13	7/19/13 (Promo from Court Reporter, (\$56,994, 21-4, 35 hr./week))
3.	<u>Tracy Kennedy</u> Court Recording Monitor	Reporter's Office	Hartford JD	N/C	9/20/13	8/30/13 (Transfer from Reporter Windham JD)
4.	<u>Kathryn Darling</u> Court Recording Monitor	Reporter's Office	New Haven JD	N/C	9/20/13	9/06/13 Transfer from Court Reporters Office, Fairfield JD
5.	<u>Corrine S. Dodd</u> Court Recording Monitor	Reporter's Office	Litchfield JD	N/C	9/20/13	9/06/13 Transfer from Court Reporters Office, Waterbury JD
6.	<u>Jean A. Kindley</u> Official Court Reporter I	Reporter's Office	Ansonia/Milford JD	\$88,689 (26D-4)	9/6/13	9/06/13 Promo & Transfer from Court Reporters, New Haven JD (\$78,204, 21-9)

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

d. COURT REPORTERS/MONITORS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
7.	<u>Kellie A. Laporte</u> Court Recording Monitor	Reporter's Office	Waterbury JD	N/C	9-20-13 Transfer from Court Reporters Office, Hartford JD	9/06/13
8.	<u>Tonia Speringo</u> Court Recording Monitor	Reporter's Office	Ansonia/Milford JD	N/C	9/20/13 Transfer from Court Reporters Office, New Haven JD	9/06/13
9.	<u>Corrine S. Dodd</u> Court Recording Monitor	Reporter's Office	Bantam JD	N/C	9/20/13 Transfer from Court Reporters Office, Waterbury JD	9/20/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

f. COURT INTERPRETERS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Chonita Milla</u> Lead Interpreter	Interpreting Services	Hartford 90 Washington St.	\$60,339 (20-3)	6/28/13 (Promo and Transfer From Court Interpreter II, Interpreter's -New Britain GA 15 \$56,645, 18-4))	6/21/13
2.	<u>Karen Fernandez</u> Court Interpreter II	Interpreter's Office	Hartford GA 14	\$52,860 (18-1)	3/07//14	2/28/14
3.	<u>Veronica Lezana</u> Court Interpreter II	Interpreter' Office	New Britain	NC	2/07/14 Transfer from Interpreters Hartford GA 14	2/28/14
4.	<u>Anna A. Poinvil</u> Court Interpreter II	Interpreter's Office	Stamford GA 1	\$52,860 (18-1)	05/30/2014	3/28/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

g. MISCELLANEOUS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Matthew F. Berardino</u> Mediation Specialist Trainee	Clerk's Office	Litchfield JD	\$50,147 (19-1)	7/26/13	7/12/13
2.	<u>Rebecca A. DeVeau</u> Mediation Specialist Trainee	Clerk's Office	New Britain JD	\$50,147 (19-1)	7/26/13	7/12/13
3.	<u>Yujia J. Xia</u> Mediation Specialist Trainee	Clerk's Office	Stamford JD	\$50,147 (19-1)	7/26/13	7/12/13
4.	<u>Shelby N. Bradford</u> Mediation Specialist II	Clerk's Office	Hartford JD 95 Washington St	N/C	8/23/13	8/02/13 Transfer from New Britain JD
5.	<u>Diane Day</u> Mediation Specialist I	Clerk's Office	Hartford JD 95 Washington St	N/C	8/23/13	8/02/13 Transfer from Stamford JD
6.	<u>Kevin Williams</u> Mediation Specialist II	Clerk's Office	Windham JD	N/C	8/23/13	8/02/13 Transfer from Hartford JD
7.	<u>Michael R. Smuda</u> Caseflow Coordinator Trainee	Clerk's Office	Middlesex JD	\$51,652 (19-1)	10/18/13	10/04/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

g. MISCELLANEOUS

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
8.	<u>Alexandra M. Wilson</u> Caseflow Coordinator Trainee	Clerk's Office	Tolland JD	\$51,652 (19-1)	11/15/13	10/25/13
9.	<u>Carmen Sierra</u> Victim Services Advocate	Office of Victim Services	New Britain 20 Franklin Square	N/C	1/10/14	12/13/13 Transfer from Office of Victim Services, Waterbury 55 W Main St.
10.	<u>Lisa C. Scully</u> Court Services Officer	Juvenile Matters	New Britain	NC	1-10-14	12/20/13 (Transfer from New Haven Juvenile Matters)
11.	<u>Safia Syed</u> Caseflow Coordinator Trainee	Clerk's Office	Rockville	\$51,652 (19-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

h. SUPPORT ENFORCEMENT

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Mary J. Hill</u> Supervising Support Enforcement Officer	Support Enforcement	Hartford 999 Asylum Ave.	\$85,749 (29-4)	5/31/13 (Promo from Support Enforcement Officer II, (\$82,462, 25-8))	5/10/13
2.	<u>Robbin C. Camp</u> Supervising Support Enforcement Assistant	Support Enforcement	New Haven JD	\$66,406 (19-8)	6/28/13 (Promo and Transfer from Support Enforcement Assistant, Central Processing Unit, New Haven (\$63,437, 17-9))	6/14/13
3.	<u>John E. Neron</u> Support Services Investigator	Support Enforcement	Windham JD	\$42,494 (14-1)	7/12/13	6/21/13
4.	<u>Denis P. Killeen</u> Support Enforcement Officer II	Support Enforcement	Windham JD	N/C	8/23/13 (Transfer from Support Enforcement Hartford, 999 Asylum Avenue)	7/19/13
5.	<u>Ryan C. Keiling</u> Support Enforcement Officer II	Support Enforcement	Middletown GA 9	N/C	9/6/13 (Transfer from Support Enforcement, Danbury JD)	7/19/13
6.	<u>Edwidge Angrand</u> Support Enforcement Assistant	Support Enforcement	Stamford JD	\$48,862 (17-1)	8/23/13 Promo from Administrative Clerk I, (\$39,721, 12-2))	7/26/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

h. SUPPORT ENFORCEMENT

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
7.	<u>Linda E. Usher</u> Support Enforcement Officer Trainee	Support Enforcement	Danbury JD	\$50,147 (19-1)	9/6/13	7/26/13 InterBranch Transfer from the Attorney General's Office
8.	<u>Ohla Lisowitch</u> Support Enforcement Officer Trainee	Support Enforcement	New Haven 414 Chapel Street	\$50,147 (19-1)	9/6/13	8/09/13 (Promo and transfer from Bridgeport Office Clerk \$35,853, 10-1)
9.	<u>Dawn S. Reynolds</u> Support Enforcement Officer Trainee	Support Enforcement	New Haven 414 Chapel Street	\$53,533 (19-3)	9/6/13	8/09/13 (Promo from Support Enforcement Assistant \$50,589, 17-2)
10.	<u>Juliana McHugh</u> Support Enforcement Officer Trainee	Support Enforcement	New Haven 414 Chapel St.	\$51,652 (19-1)	10/04/13	9/20/13 Promo and Transfer from Administrative Clerk I, Clerk's Office, Waterbury GA4, (\$39,669, 12-1)
11.	<u>Tina M. Kopcik</u> Support Enforcement Officer Trainee	Support Enforcement	New Haven JD 414 Chapel St.	\$61,865 (19-8)	10/04/13	9/27/13 Promo from Support Enforcement Assistant (\$63,299, 17-9)

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

h. SUPPORT ENFORCEMENT

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Eunice M. Marrero</u> Support Enforcement Assistant	Support Enforcement	Stamford JD	\$52,107 (17-2) N/C	11/01/13	10/04/13 Title Change from Administrative Assistant (\$52,107, 17-2)
2.	<u>Shawn M. Conner</u> Support Enforcement Officer Trainee	Support Enforcement	Hartford JD	\$51,652 (19-1)	11/29/13	11/01/13 Promo and Transfer from Judicial Marshal Transport, Manchester (\$48,652, 1-6)
3.	<u>Yvonne M. Howard</u> Support Enforcement Officer Trainee	Support Enforcement	Hartford JD	\$51,652 (19-1)	11/29/13	11/01/13 Promo and Transfer from Juvenile Detention Officer, Hartford (\$51,457, 16-2)
4.	<u>Arthur J. Maglieri</u> Support Enforcement Officer Trainee	Support Enforcement	Hartford JD	\$51,652 (19-1)	11/29/13	11/01/13 Promo and Transfer from Judicial Security Officer, 101 Lafayette St., Hartford (\$38,462, 1A-2)
5.	<u>Shawn M. Conner</u> Support Enforcement Officer Trainee	Support Enforcement	Hartford JD	N/C	12/13/13	11/08/13 Correction Effective date revised from 11/29/13
6.	<u>Arthur J. Maglieri</u> Support Enforcement Officer Trainee	Support Enforcement	Hartford JD	N/C	12/13/13	11/08/13 Correction Effective date revised from 11/29/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

h. SUPPORT ENFORCEMENT

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
7.	<u>Tara U. Artis</u> Support Enforcement Assistant	Support Enforcement	Hartford JD	\$57,444 (17-5)	1/10/14	12/13/13 (Promo and Transfer from Administrative Clerk I*, Juvenile Matters, Hartford (\$54,808, 13-9))
8.	<u>Jocelyn D. Betsey</u> Support Enforcement Assistant	Support Enforcement	Hartford JD	\$57,444 (17-5)	1/10/14	12/13/13 (Promo and Transfer from Administrative Clerk I*, Juvenile Detention, Hartford (\$54,808, 13-9))
9.	<u>Tamera A. Ciesnik</u> Support Enforcement Assistant	Support Enforcement	New Britain JD	\$50,328 (17-1)	1/10/14	12/13/13 (Promo and Transfer from Administrative Clerk I, New Britain JD Clerk (\$42,159, 12-3))
10.	<u>Ryan J. Dinello</u> Support Enforcement Assistant	Support Enforcement	Central Processing Unit, New Haven	N/C	1/10/14	12/13/13 (Transfer from Administration East Hartford
11.	<u>Kalena T. Roderick</u> Support Enforcement Assistant	Support Enforcement	New Haven JD	\$50,328 (17-1)	1/10/14	12/13/13 (Promo and Transfer from Judicial Security Officer, Building Security, Norwich (\$37,401, 1A-1))

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

h. SUPPORT ENFORCEMENT

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
12.	<u>Sue E. Wierzbicki</u> Support Enforcement Assistant	Support Enforcement	Bridgeport 1 Lafayette Circle	\$50,328 (17-1)	1-10-14 (Promo and transfer from New Haven Adm Clerk I (\$44,649, 12-5))	12/20/13
13.	<u>Damary Mitchell</u> Support Enforcement Assistant	Support Enforcement	New Haven JD	\$50,328 (17-1)	2-21-14 (Promo & Transfer from Adm. Clerk I, Clerk's Office, 400 Grand St. Waterbury (\$40,914, 12-2))	1/31/14
14.	<u>Tricia L. Burdick</u> Support Enforcement Assistant	Support Enforcement	New Haven 414 Chapel St.	\$50,328 (17-1)	5/2/14 (Promo & transfer from Adm. Clerk I, Clerk's Office, GA3 (\$42,159, 12-3))	4/11/14
15.	<u>Susan M. Pow</u> Support Enforcement Assistant	Support Enforcement	New Haven 414 Chapel St.	\$59,223 (17-6)	5/2/14 (Promo & Transfer from Support Enforcement Investigator, New Haven (\$57,293, 14-9))	4/11/14
16.	<u>Coral H. Anderson</u> Support Enforcement Officer Trainee	Support Enforcement	Hartford JD 999 Asylum Ave.	\$63,860 (19-8)	5/2/14 (Promo & Transfer from Adm. Asst., Htfld, JD, 95 Washington (\$65,341, 17-9))	
17.	<u>Sandra A. Davis</u> Support Enforcement Assistant	Support Enforcement	Hartford JD 999 Asylum Ave.	\$50,328 (17-1)	5/2/14 (Promo & Transfer from Judicial Marshall Trainee, Lock up 24 Hr. Hartford (\$38,572, 1-1))	

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
1.	<u>Richard A. Zaczynski</u> Lead Judicial Marshal	Lock-Up/24 Hour	Hartford	\$46,996 (3-1)	5/31/13 (Promo & Transfer from Judicial Marshal, Building Security, 101 Lafayette St., (\$44,786, 1-4))	5/10/13
2.	<u>John D. Riedel</u> Lead Judicial Marshal	Lock up / 24 hr	Hartford 101 Lafayette St.	\$46,996 (3-1)	5/17/13 CORRECTION Amended schedule from night today (\$48,660, 3-1)	5/17/13
3.	<u>Richard A. Zaczynski</u> Lead Judicial Marshal	Lock-Up/24 Hour	Hartford 101 Lafayette St.	\$48,660 (3-1)	5/31/13 CORRECTION Amended schedule from day to night (\$46,996, 3-1)	5/17/13
4.	<u>Mayco Morales, Jr.</u> Judicial Security Officer	Building Security	New Haven 235 Church Street	\$36,311 (1A-1)	6/14/13	5/31/13
5.	<u>Ricardo C. Taddei</u> Supervising Judicial Marshal	Building Security	New Britain	N/C	6/28/13 Transfer from, 101 Lafayette St., Lock-up/24 Hour	5/31/13
6.	<u>Keith A. deGraffenreaidt</u> Lead Judicial Marshal	Lock-Up/24 Hour	Hartford 101 Lafayette	\$50,252 (3-2)	6/28/13 Promo from Judicial Marshal (\$46,009, 1-5)	6/7/13

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
7.	<u>James R. Zuercher</u> Judicial Marshal	Building Security	New Haven 235 Church Street	N/C	9/6/13 (Transfer from Norwich Building Security)	8/09/13
8.	<u>Michael A. Otero</u> Judicial Marshal	Building Security	Bridgeport 1061 Main Street	N/C	9/6/13 Transfer from Norwalk Building Security	8/23/13
9.	<u>Christopher Donnelly</u> Supervising Judicial Marshal	Lock-Up/24 Hour	Hartford 101 Lafayette	\$56,453 (1-97)	11/15/13 (Promo from Lead Judicial Marshal, Lock-Up/24 Hour 101 Lafayette St., (\$50,070, 3-1))	10/18/13
10.	<u>Christopher Donnelly</u> Supervising Judicial Marshal	Lock-Up/24 Hour	Hartford 101 Lafayette	\$58,221 (1-97)	11/15/13 Salary Correction to 3 rd shift (From \$56,453)	10/25/13
11.	<u>Richard D. Tercyak</u> Judicial Security Officer	Building Security	Middletown 1 Court Street (1A-1)	\$37,401	12/13/13	11/29/13 Revised 12/2/13 Recommendation for Appointment Withdrawn 12/2/13
12.	<u>Abdoul B. Agrignan</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	1/24/14	1/10/14
13.	<u>Michael E. Ardizzone</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
14.	<u>Angelo J. Arena</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
15.	<u>James J. Aronne</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
16.	<u>Odale M. Beckford</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
17.	<u>Keondra L. Bonner</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
18.	<u>Eric Brescia</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
19.	<u>Denise N. Brown</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm Street	\$38,572 (1-1)	1/24/14	1/10/14 (Interbranch Transfer from DCF)
20.	<u>Zachary H. Brown</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
21.	<u>David Cabrera</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	1/24/14	1/10/14
22.	<u>Nicanor G. Cardenas</u> Judicial Marshal Trainee	Building Security	Danbury	\$38,572 (1-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
23.	<u>Ismael Carrasquillo</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	1/24/14	1/10/14
24.	<u>Julian J. Centeno</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
25.	<u>Kevin J. Chaffee</u> Judicial Marshal Trainee	Building Security	Bridgeport	\$38,572 (1-1)	1/24/14	1/10/14
26.	<u>Philip S. Chapell</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
27.	<u>Frank P. Cortina</u> Judicial Marshal Trainee	Building Security	Danielson	\$38,572 (1-1)	1/24/14	1/10/14
28.	<u>William R. Crooms</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
29.	<u>Jillian M. Daddona</u> Judicial Marshal Trainee	Building Security	New Britain	\$38,572 (1-1)	1/24/14	1/10/14
30.	<u>Gonzalo D. D'Alessandro</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	1/24/14	1/10/14
31.	<u>Jeremy M. Delaney</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
32. <u>Annika M. Diaz</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
33. <u>Derek J. Dimauro</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14 Interbranch transfer from from Conn. Valley Regional Hospital	1/10/14
34. <u>Matthew T. Dowling</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
35. <u>Mohamed Etemi</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
36. <u>Dillon Fecteau</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
37. <u>Christopher A. Festa</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	1/24/14	1/10/14
38. <u>Elizabeth Figueroa</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
39. <u>Robert J. Foley</u> Judicial Marshal Trainee	Building Security	Rockville	\$38,572 (1-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
40.	<u>Jessica M. Foreman</u> Judicial Marshal Trainee	Building Security	Middletown	\$38,572 (1-1)	1/24/14	1/10/14
41.	<u>Kenneth L. Fountain</u> Judicial Marshal Trainee	Building Security	Middletown	\$38,572 (1-1)	1/24/14	1/10/14
42.	<u>Philip J. Galaske, Jr.</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	1/24/14	1/10/14
43.	<u>Michael J. Gromperts</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
44.	<u>Mariah I. Hardy</u> Judicial Marshal Trainee	Building Security	New London	\$38,572 (1-1)	1/24/14	1/10/14
45.	<u>Steven H. Hayden</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
46.	<u>Cynthia S. Holbrook</u> Judicial Marshal Trainee	Building Security	New London	\$38,572 (1-1)	1/24/14	1/10/14
47.	<u>Christina M. Inho</u> Judicial Marshal Trainee	Building Security	New Britain	\$38,572 (1-1)	1/24/14	1/10/14
48.	<u>Jason R. Jean-Baptiste</u> Judicial Marshal Trainee	Building Security	Bridgeport	\$38,572 (1-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
49. <u>Emily A. Jobes</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
50. <u>Victor A. Jones</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
51. <u>Patrick N. Lewis</u> Judicial Marshal Trainee	Building Security	Danielson	\$38,572 (1-1)	1/24/14	1/10/14
52. <u>Robert W. Liedke</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
53. <u>James E. Manzie</u> Judicial Marshal Trainee	Building Security	Hartford	\$38,572 (1-1)	1/24/14	1/10/14
54. <u>Charles A. Mastrianna</u> Judicial Marshal Trainee	Building Security	Bridgeport	\$38,572 (1-1)	1/24/14	1/10/14
55. <u>Brian E. Matos</u> Judicial Marshal Trainee	Building Security	Bridgeport	\$38,572 (1-1)	1/24/14	1/10/14
56. <u>Anna M. McDonald</u> Judicial Security Officer	Building Security	Middletown	\$37,401 (1A-1)	1/24/14	1/10/14
57. <u>Linda D. McLeod</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14 Interbranch transfer from Conn. Valley Regional Hospital

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
58.	<u>James A. O'Connell</u> Judicial Marshal Trainee	Building Security	Bridgeport	\$38,572 (1-1)	1/24/14	1/10/14
59.	<u>Eraclito E. Rapuano</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
60.	<u>Ileana Rodriguez</u> Judicial Marshal Trainee	Building Security	Danielson	\$38,572 (1-1)	1/24/14	1/10/14
61.	<u>Gloria I. Santiago</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14
62.	<u>James D. Shanahan</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/1	1/10/14
63.	<u>Luis A. Sierra</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
64.	<u>Yaneshka Tarraza</u> Judicial Marshal Trainee	Building Security	Bridgeport	\$38,572 (1-1)	1/24/14 Interbranch transfer from Housatonic Community Tech. College	1/10/14
65.	<u>Matthew S. Tracy</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/10/14
66.	<u>Lauren H. Veillette</u> Judicial Marshal Trainee	Building Security	New Haven	\$38,572 (1-1)	1/24/14	1/10/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
67.	<u>Ian M. Yelmini</u> Judicial Marshal Trainee	Building Security	Middletown	\$38,572 (1-1)	1-24-14 Interoffice transfer from DMV	1/10/14
68.	<u>Tabatha Darcy</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/17/14
69.	<u>Michael A. Jones</u> Judicial Marshal Trainee	Building Security	Waterbury 400 Grand St.	\$38,572 (1-1)	1/24/14	1/17/14
70.	<u>Scott D. Petit</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/17/14
71.	<u>Lauren A. Murphy</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	1/24/14	1/17/14
72.	<u>James A. O'Donnell</u> Judicial Marshal Trainee	Building Security	Bridgeport	NC	1/24/14 Correction Spelling of name O'Connell to O'Donnell	1/17/14
73.	<u>Stephen A. Grimalli</u> Judicial Marshal Trainee	Building Security	Bridgeport 172 Golden Hill St.	\$38,572 (1-1)	1/24/14	1/17/14
74.	<u>Michael L. Napierski</u> Judicial Security Officer	Building Security	Norwich	\$37,401 (1A-1)	2/7/14	1/17/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
75.	<u>Michael C. Statchen</u> Judicial Security Officer	Building Security	Danielson	\$37,401 (1A-1)	2/7/14	1/17/14
76.	<u>Yaneshka Tarraza</u> Judicial Security Officer	Building Security	Waterbury 7 Kendrick Avenue	\$37,401 (1A-1)	3/3/14	2/14/14 Transfer from Judicial Marshal Trainee (\$38,572, 1-1)
77.	<u>Angela N. Davis</u> Judicial Marshal Trainee	Building Security	New Haven 235 Church St.	\$38,572 (1-1)	4/04/14	2/28/14
78.	<u>Kieran A. Frinton</u> Judicial Marshal Trainee	Building Security	Stamford	\$38,572 (1-1)	4/04/14	2/28/14
79.	<u>Kyle S. Johnson</u> Judicial Marshal Trainee	Building Security	New Haven 235 Church St.	\$38,572 (1-1)	4/04/14	2/28/14
80.	<u>Joseph M. Labeck</u> Judicial Marshal Trainee	Building Security	Bridgeport 172 Golden Hill St.	\$38,572 (1-1)	4/04/14	2/28/14
81.	<u>Tanya M. Nadeau</u> Judicial Marshal Trainee	Building Security	New London 70 Huntington St.	\$38,572 (1-1)	4/04/14	2/28/14
82.	<u>George A. Werrbach</u> Judicial Marshal Trainee	Building Security	New London 70 Huntington St.	\$38,572 (1-1)	4/04/14	2/28/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
83.	<u>Derrylynn M. Hanson</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	04/04/14	3/07/14
84.	<u>Richard Hernandez</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	04/04/14	3/07/14
85.	<u>Jonathan S. Sturgeon</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm St.	\$38,572 (1-1)	04/04/14	3/07/14
86.	<u>Timothy J. Deffely</u> Judicial Marshal Trainee	Building Security	Bridgeport 172 Golden Hill St.	\$38,572 (1-1)	04/04/2014	3/21/14
87.	<u>Walter C. Doeher</u> Judicial Marshal Trainee	Building Security	New London	\$38,572 (1-1)	04/04/2014	3/28/14
88.	<u>Jason L. Fredericks</u> Judicial Marshal Trainee	JM 75 Elm Street	Hartford	\$38,572 (1-1)	04/04/2014	3/28/14
89.	<u>Christina L. Hurlbert</u> Judicial Marshal Trainee	JM 75 Elm Street	Hartford	\$38,572 (1-1)	04/04/2014	3/28/14
90.	<u>Daniel M. Leone</u> Judicial Marshal Trainee	Building Security	Middletown	\$38,572 (1-1)	04/04/2014	3/28/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo D
91.	<u>Lucian Maragnano</u> Judicial Marshal Trainee	Building Security	Waterbury	\$38,572 (1-1)	04/04/2014	3/28/14
92.	<u>Dennis P. O'Connor</u> Judicial Marshal Trainee	Building Security	Hartford 75 Elm Street	\$38,572 (1-1)	04/04/2014	3/28/14
93.	<u>John J. O'Dea</u> Judicial Marshal Trainee	Building Security	New Haven 235 Church Street	\$38,572 (1-1)	04/04/2014	3/28/14
94.	<u>Andrew S. Page</u> Judicial Marshal Trainee	Building Security	New Haven 235 Church Street	\$38,572 (1-1)	04/04/2014	3/28/14
95.	<u>Brian J. Ricardi</u> Judicial Marshal Trainee	Building Security	Danielson	\$38,572 (1-1)	04/04/2014	3/28/14
96.	<u>Collin D. Sheppard</u> Judicial Marshal Trainee	JM Transport	Manchester	\$38,572 (1-1)	04/04/2014	3/28/14
97.	<u>Jessica M. Young</u> Judicial Marshal Trainee	Building Security	New Haven 235 Church Street	\$38,572 (1-1)	04/04/2014	3/28/14
98.	<u>Giuseppe A. DiPaola</u> Lead Judicial Marshal	Lock up / 24 hr.	Hartford 101 Lafayette St.	\$50,070 (3-1)	4/4/2014 (Promo from Judicial Marshal Lock up 24 hr. Hartford (\$47,802, 1-4))	4/11/14

I. APPOINTMENTS, PROMOTIONS AND TRANSFERS

i. Judicial Marshals

	Name and Position	Office	JD/GA & Location Of Duty Station	Salary	Effective Date	Memo Date
99.	<u>Thashinea A. Jarrett-Bailey</u> Judicial Security Officer	Building Security	Hartford 101 Lafayette St.	\$37,401 (1A-1)	5/2/14	4/11/14
100.	<u>Philip A. Judd</u> Lead Judicial Marshal	Lock up / 24 hr.	Hartford 101 Lafayette	\$53,350 (3-3)	4/4/14 (Promo and transfer from Judicial Marshal, Building Security, Middletown, (48,652, 1-6))	4/11/14
101.	<u>Labeck, Joseph</u> Judicial Security Officer	Building Security	New Haven 235 Church St.	\$37,401 (1A-1)	5/2/2014	4/18/14

II LEAVES OF ABSENCE

<u>Name & Position</u>	<u>JD & Duty Station</u>	<u>Duration of Auth. Leave</u>	<u>Reason</u>	<u>Memo Date</u>
1. <u>Florence J. Mackey.</u> Victim Services Advocate	Victim Advocacy New Haven	4/25/13-7/19/13	Medical Leave	7/5/13
2. <u>Abby S. Bowker</u> Court Officer, Judicial District	Complex Litigation 95 Washington St.	4/29/13-7/12/13	Medical Leave Discretionary (reduced schedule 4 hours per day 4/29/13-5/13/13) (reduced schedule 6 hours per day 5/14/13-7/12/13)	7/12/13
3. <u>Anthony D. Veilleux</u> Judicial Marshal	Building Security 90 Washington St., Hartford	5/8/13-6/20/13	Ext. of Medical Leave Discretionary (reduced schedule 6 hours per day) (unpaid leave 40 hours per week for 3 weeks)	7/12/13
4. <u>Abby S. Bowker</u> Court Officer, Judicial District	Complex Litigation 95 Washington St.	4/29/13-8/28/13	Medical Leave Discretionary (reduced schedule 4 hours per day 4/29/13-5/13/13) (reduced schedule 6 hours per day 5/14/13-7/12/13) (reduced schedule 2 hours per day 7/15/13-8/28/13)	8/16/13
5. <u>Edward P. Morrone</u> Judicial Marshal Trainee	Building Security New Haven 235 Church Street	6/7/13-2/6/14	Personal Leave	8/16/13

II LEAVES OF ABSENCE

<u>Name & Position</u>	<u>JD & Duty Station</u>	<u>Duration of Auth. Leave</u>	<u>Reason</u>	<u>Memo Date</u>
6. <u>Abby S. Bowker</u> Court Officer, Judicial District	Complex Litigation 95 Washington St.	4/29/13-9/27/13	Medical Leave Discretionary (reduced schedule 4 hours per day 4/29/13-5/13/13) (reduced schedule 6 hours per day 5/14/13-7/12/13) (reduced schedule 6 hours per day 7/15/13-8/28/13) (reduced schedule 6 hours per day 8/29/13-9/27/13)	9/27/13
7. <u>Abby S. Bowker</u> Court Officer, Judicial District	Complex Litigation 95 Washington St.	9/27/13 – 11/2/13	Medical Leave Discretionary (reduced schedule 4 hours per day 4/29/13-5/13/13) (reduced schedule 6 hours per day 5/14/13-7/12/13) (reduced schedule 6 hours per day 7/15/13-8/28/13) (reduced schedule 6 hours per day 8/29/13-9/27/13) (reduced schedule 6 hours per day 9/27/13-10/17/13 Tues., Wed., Thurs. Friday) (8 hrs. on Mondays) (reduced schedule 6 hours per day 10/18/13-11/2/13 Tues., Wed., Thurs.) (8 hrs. on Mondays and Fridays)	10/25/13
8. <u>Susan L. Rich</u> CSSD Intake Assistant	Adult Probation 131 North Main. St. Bristol	9/27/13 – 1/01/14	Medical Leave Discretionary	11/01/13

II LEAVES OF ABSENCE

<u>Name & Position</u>	<u>JD & Duty Station</u>	<u>Duration of Auth. Leave</u>	<u>Reason</u>	<u>Memo Date</u>
9. <u>Abby S. Bowker</u> Court Officer, Judicial District	Complex Litigation 95 Washington St.	11/2/13 – 11/25/13	Medical Leave Discretionary (reduced schedule 4 hours per day 4/29/13-5/13/13) (reduced schedule 6 hours per day 5/14/13-7/12/13) (reduced schedule 6 hours per day 7/15/13-8/28/13) (reduced schedule 6 hours per day 8/29/13-9/27/13) (reduced schedule 6 hours per day 9/27/13-10/17/13 Tues., Wed., Thurs. Friday) (8 hrs. on Mondays) (reduced schedule 6 hours per day 10/18/13-11/2/13 Tues., Wed., Thurs.) (8 hrs. on Mondays and Fridays) (reduced schedule 6 hours per day 11/02/13-11/25/13 Tues., Wed., Thurs.) (8 hrs. on Mondays and Fridays)	11/08/13
10. <u>Patricia J. Inman</u> Support Enforcement Assistant	Support Enforcement Fairfield	11/19/13-2/13/14	Medical Leave Discretionary 11-19-13 through 2-13-14	1-10-14
11. <u>Abby S. Bowker</u> Court Officer, Judicial District	Complex Litigation 95 Washington St.	11/26/13 – 1/26/14	Medical Leave Discretionary (reduced schedule 4 hours per day 11/26/13-1/26/14 Tues., Wed., Thurs.) (8 hrs. on Mondays and Fridays)	2/14/14

III MISCELLANEOUS

1. **ESTABLISHING FINES FOR INFRACTIONS/VIOLATIONS PAYABLE BY MAIL** (Memo dated August 23, 2013)

"The recommended fines for infractions and violations payable by mail listed in Appendix A of this agenda are approved."

2. **APPOINTMENT OF ATTORNEY BRUCE KOFFSKY AS A MEMBER OF THE STATEWIDE GRIEVANCE COMMITTEE** (Memo dated August 30, 2013)

"Effective September 6, 2013, Attorney Bruce Koffsky of Stamford, Connecticut, is appointed a member of the Statewide Grievance Committee to serve a term expiring June 30, 2016, in accordance with §2-33 of the Practice Book."

3. **APPOINTMENT OF ATTORNEY SEFTON N. BROWN, JR. A MEMBER OF THE STAMFORD-NORWALK J.D. LOCAL GRIEVANCE PANEL** (Memo dated September 10, 2013)

"Effective September 20, 2013, Attorney Sefton N. Brown, Jr. of Bridgeport, Connecticut is appointed a member of the Stamford-Norwalk J.D. Local Grievance Panel to serve a term expiring June, 2016, in accordance with §2-29 of the Practice Book."

4. **APPOINTMENT OF ATTORNEY JEROME LARRACUENTE AS A MEMBER OF THE LOCAL GRIEVANCE PANEL FOR THE STAMFORD-NORWALK JUDICIAL DISTRICT** (Memo dated September 13, 2013)

"Effective September 20, 2013, Attorney Jerome Larracuent of Bridgeport, Connecticut is appointed a member of the Local Grievance Panel for the Stamford-Norwalk J. D. to serve a term expiring June 30, 2016, in accordance with §2-29 of the Practice Book."

5. **APPOINTMENT OF LAWRENCE M. SWEENEY AS A NON-ATTORNEY MEMBER OF THE LOCAL GRIEVANCE PANEL FOR THE LITCHFIELD JUDICIAL DISTRICT** (Memo dated October 4, 2013)

"Effective October 11, 2013, Lawrence M. Sweeney of Morris, Connecticut is appointed a non-attorney member of the Local Grievance Panel to serve a term expiring June 30, 2014 in accordance with §2-29 of the Practice Book."

6. **APPOINTMENT OF ATTORNEY CHARLES E. TIERNAN III AS AN ALTERNATE MEMBER OF THE ANSONIA-MILFORD J.D. LOCAL GRIEVANCE PANEL** (Memo dated October 18, 2013)

"Effective October 25, 2013, Attorney Charles E. Tiernan III of New Haven, Connecticut is appointed an alternate member of the Ansonia-Milford J.D. Local Grievance Panel to serve a term expiring June 30, 2016, in accordance with §2-29 of the Practice Book."

7. **APPOINTMENT OF JONATHAN SHUGARTS AS A NON-ATTORNEY MEMBER OF THE STATEWIDE GRIEVANCE COMMITTEE.** (Memo dated April 11, 2014)

"Effective April 18, 2014, Jonathan Shugarts of Waterbury, Connecticut, is appointed a non-attorney member of the Statewide Grievance Committee to serve a term expiring June 30, 2014, in accordance with §2-33 of the Practice Book."

8. **CLIENT SECURITY FUND COMMITTEE ANNUAL AND QUARTERLY REPORTS**

(Memo dated September 12, 2013 regarding April to June 2013 quarterly; memo dated November 22, 2013 regarding July to September, 2013 quarterly; memo dated February 10, 2014 regarding October to December 2013 quarterly; memo dated February 10, 2014 regarding annual report for 2013). Copies of these reports are included as Appendix B of this agenda.)

Recommendation to the Judges

A motion was made by Judge Bozzuto and seconded by Judge Rodriguez to recommend to the Superior Court Judges at the annual meeting the appointment of Court Clerks, judicial officials and administrators and members of various committees and panels as set forth in Appendix C of the minutes. The motion was approved unanimously.

Executive Committee Procedures

Judge Carroll opened the discussion regarding his proposals for some revisions in the procedures for conducting the business of the Executive Committee.

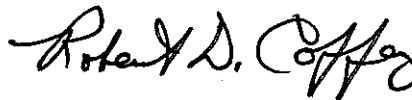
He proposed that meetings be held at least once per month, on a fixed date, in the Supreme Court Building or other location determined by the Committee. The meetings will be equipped with teleconferencing equipment to enable participation by members of the Committee who are unable to attend in person. Notice of the meetings and agendas will be posted in accordance with the law and the public may attend. The Administrative Services Division Human Resource Management Unit will serve as secretary to the meeting, record votes taken at meetings, prepare draft meeting minutes and post minutes in accordance with the law.

Judge Carroll recommended that the Executive Committee establish a quorum of five members for purposes of conducting business at the monthly meetings. Following the discussion, Judge Bozzuto moved to establish a quorum of five members. Judge Rodriguez seconded and the motion was approved unanimously.

Adjournment

At 2:20 p.m., there being no further business, Judge Bozzuto moved to adjourn. Judge Rodriguez seconded and the motion was approved unanimously.

Respectfully submitted,



Robert D. Coffey

Legislation Affecting Infractions or Violations

Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/Mandatory Fine Amount
P.A. 13-220 (1)(c)	Possession of large capacity magazine on or after January 1, 2014, that was obtained prior to April 5, 2013 (1st offense)	Recommended fine \$50
P.A. 13-82 (1)(c)	Improper planting of running bamboo closer than 100 feet from an abutting property or public way (not applicable to running bamboo planted on or before October 1, 2013)	\$100 (set in statute)
P.A. 13-82 (1)(d)	Sale of running bamboo without proper notice of containment information to customer	\$100 (set in statute)
26-55 (e)	Importation, introduction, possession or liberation of live fish or aquatic nuisance invertebrates without a permit (In the event of a continuing violation, each day of continuance shall be a separate violation)	Recommended fine \$85
P.A. 13-277 (9)	Unauthorized operation of a motor vehicle on a roadway designed for bus rapid transit	Recommended fine \$50

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/ Mandatory Fine Amount
29-152/ (b)	Wearing or display of an unapproved badge indicating one is a bail enforcement agent or performs the duties of a bail enforcement agent	Recommended fine \$90
29-152/ (b)*	Failure of bail enforcement agent to surrender approved badge upon suspension, revocation or refusal to renew bail enforcement agent license	Recommended fine \$90
14-252 (a)	Failure to remove any accumulated ice or snow from a noncommercial vehicle	\$75 (set in statute)
14-252 (b)	Failure to remove any accumulated ice or snow from a commercial vehicle	\$75 (set in statute)
29-143o	Sale of ticket of admission for any boxing or mixed martial arts match in excess of the seating capacity of the room, hall, place (1st Offense)	Recommended fine \$100 (Not more than \$200)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/Mandatory Fine Amount
29-1430*	Sale of ticket of admission for any boxing or mixed martial arts match in excess of the seating capacity of the room, hall, place (2nd Offense for the club, corporation, association or person or persons who sold the ticket(s))	\$500 (set in statute)
29-1430**	Sale of ticket of admission for any boxing or mixed martial arts match in excess of the seating capacity of the room, hall, place (2nd Offense for officers of club, corporation or association)	Recommended fine \$200 (Not more than \$200)
P.A. 13-262 (1)	Opening, operating, or taking water from or tamper with any hydrant or otherwise taking water from or tamper with any public water supply reservoir without authorization or permission (1st Offense)	\$500 (set in statute)
P.A. 13-262 (1)*	Opening, operating, or taking water from or tamper with any hydrant or otherwise taking water from or tamper with any public water supply reservoir without authorization or permission (2nd Offense)	\$1000 (set in statute)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

7-313a	Failure to obey any signal given by a fire police officer directing traffic.	\$50 (recommended)
14-65f	Failure of motor vehicle repair shop to perform repairs without properly receiving customer's authorization after an adequate estimate has been provided, or to perform work in excess of estimate without customer's authorization.	\$50 (recommended)
14-65g	Failure of motor vehicle repair shop to follow proper procedures regarding customer's waiver of right to an estimate of costs for parts and labor or failure to notify customer if there is a charge to produce an estimate or diagnosis.	\$50 (recommended)
14-65h (a)	Improper or incomplete invoice or written warranty provided to customer by motor vehicle repair shop.	\$50 (recommended)
14-65h (b)	Failure of motor vehicle repair shop to make available to customer replaced parts, components or equipment.	\$50 (recommended)
14-65i	Failure of motor vehicle repair shop to properly display sign informing customer that the shop is licensed and apprising the customer of their rights.	\$50 (recommended)
14-66	Violation of wrecker equipment regulations.	\$50 (recommended)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/ Mandatory Fine Amount
14-296aa (b)*	Operation of a motor vehicle while using a hand-held mobile telephone to engage in a call or while using a mobile electronic device when vehicle in motion (An operator of a motor vehicle who types, send or reads a text message with a hand held mobile phone or electronic device while vehicle is in motion shall have violated this section.) (1st offense)	\$150 (set in statute)
14-296aa (b)**	Operation of a motor vehicle while using a hand-held mobile telephone to engage in a call or while using a mobile electronic device when vehicle in motion (An operator of a motor vehicle who types, send or reads a text message with a hand held mobile phone or electronic device while vehicle is in motion shall have violated this section.) (2nd offense)	\$300 (set in statute)
14-296aa (b)***	Operation of a motor vehicle while using a hand-held mobile telephone to engage in a call or while using a mobile electronic device when vehicle in motion (An operator of a motor vehicle who types, send or reads a text message with a hand held mobile phone or electronic device while vehicle is in motion shall have violated this section.) (3rd/sbs offense)	\$500 (set in statute)
14-296aa (c)*	Use of hand-held or hands-free mobile telephone or other electronic device while operating a moving school bus carrying passengers (1st offense)	\$150 (set in statute)
14-296aa (c)**	Use of hand-held or hands-free mobile telephone or other electronic device while operating a moving school bus carrying passengers (2nd offense)	\$300 (set in statute)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/ Mandatory Fine Amount
14-296aa (c)***	Use of hand-held or hands-free mobile telephone or other electronic device while operating a moving school bus carrying passengers (3rd/sbs offense)	\$500 (set in statute)
14-296aa (d)*	Use of a hand-held or hand-free mobile telephone or a mobile electronic device by an individual under 18 while operating a moving motor vehicle (1st offense)	\$150 (set in statute)
14-296aa (d)**	Use of a hand-held or hand-free mobile telephone or a mobile electronic device by an individual under 18 while operating a moving motor vehicle (2nd offense)	\$300 (set in statute)
14-296aa (d)***	Use of a hand-held or hand-free mobile telephone or a mobile electronic device by an individual under 18 while operating a moving motor vehicle (3rd/sbs offense)	\$500 (set in statute)
14-296aa (e)*	Typing, reading or sending a text or a text message with or from a mobile telephone or mobile electronic device while operating a commercial motor vehicle (1st offense)	\$150 (set in statute)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/ Mandatory Fine Amount
14-296aa (e)**	Typing, reading or sending a text or a text message with or from a mobile telephone or mobile electronic device while operating a commercial motor vehicle (2nd offense)	\$300 (set in statute)
14-296aa (e)***	Typing, reading or sending a text or a text message with or from a mobile telephone or mobile electronic device while operating a commercial motor vehicle (3rd/sbs offense)	\$500 (set in statute)
14-296aa (i)*	Distracted driving behavior while committing a moving violation. Note: Do NOT use this violation unless you are charging a moving violation (1st offense)	\$150 (set in statute)
14-296aa (i)**	Distracted driving behavior while committing a moving violation. Note: Do NOT use this violation unless you are charging a moving violation (2nd offense)	\$300 (set in statute)
14-296aa (i)***	Distracted driving behavior while committing a moving violation. Note: Do NOT use this violation unless you are charging a moving violation (3rd/sbs offense)	\$500 (set in statute)
8-12	Violation of zoning or housing regulations (not willful)	Recommended fine \$55 (Range set between \$10-\$100)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/ Mandatory Fine Amount
29-143k	Violation of amateur boxing regulations	Recommended fine \$100 (Not more than \$200)
29-143q (a)	Holding a boxing match of more than 15 rounds of three minutes each	Recommended fine \$100 (Not more than \$200)
29-143q (b)	Holding a mixed martial arts match of more than 5 rounds or with less than 1 minute rest in between rounds	Recommended fine \$100 (Not more than \$200)
29-143q (b)	Holding a boxing or mixed martial arts match without an approved referee	Recommended fine \$100 (Not more than \$200)
29-143s	Holding a boxing or mixed martial arts match on Christmas Day, Good Friday, Memorial Day or Veterans' Day	Recommended fine \$100 (Not more than \$200)
29-143t	Participation in a boxing or mixed martial arts match without prior proper physical examination	Recommended fine \$100 (Not more than \$200)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/Mandatory Fine Amount
29-143u	Receipt of benefit from any boxer or mixed martial arts competitor for any special privilege for relating to any boxing or mixed martial arts match	Recommended fine \$100 (Not more than \$200)
29-143v	Participation by a person under 18 years old in a professional boxing or mixed martial arts match or by a person under 16 years old in an amateur boxing match	Recommended fine \$100 (Not more than \$200)
29-143w	Wagering upon the outcome of a boxing or mixed martial arts match	Recommended fine \$100 (Not more than \$200)
29-143x	Admittance of a person under 18 years old to any professional boxing or mixed martial arts match unless accompanied by a parent or guardian	Recommended fine \$100 (Not more than \$200)
29-143y	Issuance of a license to conduct, hold or give any boxing or mixed martial arts match in municipality which prohibits boxing or mixed martial arts matches within its limits	Recommended fine \$100 (Not more than \$200)
29-143z	Violation of boxing or mixed martial arts regulations not contained in any foregoing statute	Recommended fine \$100 (Not more than \$200)

Legislation Affecting Infractions or Violations
Vote of the judges establishing fines is necessary

Statute/Public Act/Bill Number	Description	Recommended/ Mandatory Fine Amount
14-61(c)	Failure of dealer to return number plates or registration applications	Recommended fine \$50
14-267a(k)(2)(A)	Exiting a limited access highway when scale or safety inspection site is operating to avoid vehicle being weighed or inspected (1 st offense)	\$250 (Not less than \$250 or more than \$500)
14-267a(k)(2)(A)*	Exiting a limited access highway when scale or safety inspection site is operating to avoid vehicle being weighed or inspected (subsequent offense)	\$500 (Not Less than \$500 or more than \$1000)
14-267a(k)(2)(B)	Parking on a limited access highway when scale or safety or inspection site is operating to avoid vehicle being weighed or inspected (1 st offense)	\$250 (Not less than \$250 or more than \$500)
14-267a(k)(2)(B)*	Parking on a limited access highway when scale or safety or inspection site is operating to avoid vehicle being weighed or inspected (subsequent offense)	\$500 (Not Less than \$500 or more than \$1000)

**CLIENT SECURITY FUND COMMITTEE**

Second Floor - Suite One
287 Main Street
East Hartford, Connecticut 06118-1885
Security.Fund@jud.ct.gov
(860) 568-3450

MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

DATE: September 12, 2013

SUBJECT: Quarterly Report of the Client Security Fund Committee
April - June 2013

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the second quarter of calendar year 2013:

I. Claims Filed

Fifteen (15) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of twenty-nine (29) claims. The dollar amount of claims filed during the quarter was \$883,320.80. The annual total of claims filed at the end of the quarter of \$1,884,003.15. The total number of claims pending before the committee as of the end of the quarter was fifty-four (54), with a total amount of claims pending of \$4,602,716.55.

II. Claims Approved

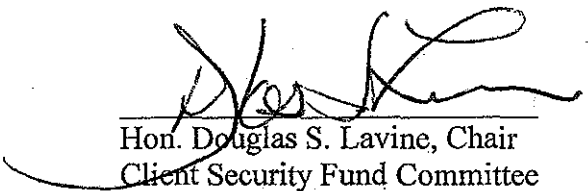
The Client Security Fund Committee approved reimbursement for four (4) claims during the quarter. The Committee approved payments to the claimants in those matters in the amount of \$4,285.00. The committee also approved a payment to a claimant in the amount of \$30,090.19 upon reconsideration of a claim that had been previously denied by the committee. The annual total of claims approved by the committee was eight (8) as of the end of the quarter, with a total amount of payments approved of \$39,540.33. The committee also denied eleven (11) claims totaling \$270,179.33 during the quarter, for an annual total of twenty-five (25) claims denied in the amount of \$1,325,629.12.

III. Fund Activity

The client security fund received \$1,914,281.21 in collections from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book during the quarter. The client security fund also received \$4,203.17 in interest on the fund, and \$1,743.02 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the lawyers' assistance program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$16,232,472.51. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,



Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

CLIENT SECURITY FUND
Fiscal Year 2012 - 2013
Quarterly Account Summary
April 1, 2013 through June 30, 2013

Available Cash Balance as of 4/1/2013 \$ 14,568,816.61

Cash Receipts (4/1/2013 - 6/30/2013)

Fees Collected	\$	1,914,281.21	
Donations Received		0.00	
Restitution	\$	1,743.02	
Other Fund Revenue		0.00	
Interest Earned ¹	\$	4,203.17	
Bank Service Charges ²	\$	(16,778.16)	
Bad Checks	\$	(7,819.75)	
Restricted Revenue Refunds	\$	(2,267.50)	
Total Cash Receipts			\$ 1,893,361.99

Total Cash Available \$ **16,462,178.60**

Cash Disbursements (4/1/2013 - 6/30/2013)

Claims	\$	34,375.19	
Personal Services - Permanent Full Time	\$	67,791.19	
Personal Services - Permanent Part Time		0.00	
Longevity	\$	2,662.00	
Unrecovered Deductions		0.00	
Fringe Benefits	\$	52,703.12	
Employee Allowances & Reportable Payments		0.00	
In State Travel Expenses		0.00	
Mileage Reimbursement	\$	761.18	
Management Consultant Services - (Lawyers' Assistance Program)	\$	52,500.00	
Fees and Permits	\$	645.75	
Online Information Services	\$	27.52	
Postage	\$	17,050.53	
Service of Process		0.00	
Translation & Interpretation	\$	5.95	
Office Equipment Lease/Rental	\$	1,389.11	
Office Equip Maintenance/Repair - Contractual	\$	(864.85)	
IT Software Licenses/Rental		0.00	
Telephone	\$	374.16	
Office Supplies	\$	285.24	
Minor Equipment		0.00	
Total Cash Disbursements			\$ 229,706.09

Available Cash Balance as of 6/30/2013 \$ **16,232,472.51**

¹Transfer from State Treasurer for Interest Earned (January-March 2013)

²Charge by State Treasurer for Lockbox Banking Fees (April-June 2012, October 2012-March 2013)



CLIENT SECURITY FUND COMMITTEE

Second Floor - Suite One
287 Main Street
East Hartford, Connecticut 06118-1885
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(860) 568-3450

MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

DATE: November 22, 2013

SUBJECT: Quarterly Report of the Client Security Fund Committee
July - September, 2013

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the third quarter of calendar year 2013:

I. Claims Filed

Seventeen (17) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of forty-six (46) claims. The dollar amount of claims filed during the quarter was \$2,741,664.02. The annual total of claims filed as of the end of the quarter was \$4,625,667.17. The total number of claims pending before the committee as of the end of the quarter was forty-nine (49), with a total amount of claims pending of \$4,762,594.09.

II. Claims Approved

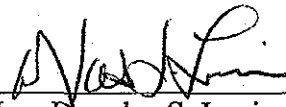
The Client Security Fund Committee approved reimbursement for five (5) claims during the quarter. The committee approved payments to the claimants in those matters in the amount of \$850,527.87. The annual total of claims approved by the committee was thirteen (13) as of the end of the quarter, with a total amount of payments approved of \$890,068.20. The committee also denied seventeen (17) claims totaling \$1,567,900.15 during the quarter, for an annual total of forty-two (42) claims denied in the amount of \$2,893,529.27.

III. Fund Activity

The client security fund received \$224,339.00 in collections during the quarter from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book. The client security fund also received \$4,293.00 in interest on the fund, and \$4,475.00 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$15,977,964.53. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,


Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

11/22/13

CLIENT SECURITY FUND
Fiscal Year 2013 - 2014
Quarterly Account Summary
July 1, 2013 through September 30, 2013

Available Cash Balance as of 7/1/2013	\$	16,232,472.51
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Cash Receipts (7/1/2013 - 9/30/2013)

Fees Collected	\$	224,339.00	
Donations Received	\$	834.05	
Restitution	\$	4,475.00	
Other Fund Revenue		0.00	
Interest Earned ¹	\$	4,293.00	
Bank Service Charges		0.00	
Bad Checks	\$	(2,245.00)	
Restricted Revenue Refunds	\$	(7,047.96)	
Total Cash Receipts			\$ 224,648.09

Total Cash Available	\$	16,457,120.60
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Cash Disbursements (7/1/2013 - 9/30/2013)

Claims	\$	289,518.87	
Personal Services - Permanent Full Time	\$	60,123.47	
Personal Services - Permanent Part Time		0.00	
Fringe Benefits	\$	51,403.35	
Employee Allowances & Reportable Payments		0.00	
In State Travel Expenses		0.00	
Mileage Reimbursement	\$	544.62	
Management Consultant Services - (Lawyers' Assistance Program)	\$	52,500.00	
Credit Card Processing Services	\$	255.00	
Fees and Permits	\$	981.00	
Online Information Services	\$	21.84	
Postage	\$	22,044.21	
Translation & Interpretation		0.00	
Office Equipment Lease/Rental	\$	661.09	
IT Software Licenses/Rental	\$	942.12	
Telephone	\$	75.87	
Office Supplies	\$	84.63	
Minor Equipment		0.00	
Total Cash Disbursements			\$ 479,156.07

Available Cash Balance as of 9/30/2013	\$	15,977,964.53
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¹Transfer from State Treasurer for Interest Earned (April-June 2013)



CLIENT SECURITY FUND COMMITTEE

Second Floor - Suite One
287 Main Street
East Hartford, Connecticut 06118-1885
Security.Fund@jud.ct.gov
(860) 568-3450

MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

DATE: February 10, 2014

SUBJECT: Quarterly Report of the Client Security Fund Committee
October -- December, 2013

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the fourth quarter of calendar year 2013:

I. Claims Filed

Nine (9) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of fifty-five (55) claims. The dollar amount of claims filed during the quarter was \$332,253.29. The annual total of claims filed as of the end of the quarter was \$4,957,920.46. The total number of claims pending before the committee as of the end of the quarter was one forty-one (41), with a total amount of claims pending of \$4,416,587.70.

II. Claims Approved

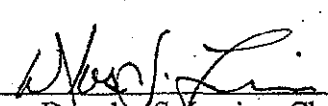
The Client Security Fund Committee approved reimbursement for three (3) claims during the quarter. The Committee approved payments to the claimants in those matters in the amount of \$176,865.00. The annual total of claims approved by the committee was sixteen (16) as of the end of the quarter, with a total amount of payments approved of \$1,066,933.20. The committee also denied fourteen (14) claims totaling \$465,945.18 during the quarter, for an annual total of fifty-six (56) claims denied in the amount of \$3,359,474.45.

III. Fund Activity

The client security fund received \$147,501.50 in collections during the quarter from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book. The client security fund also received a distribution of \$47,544.11 from an attorney's clients trust account pursuant to a court order in an attorney disciplinary matter, \$4,490.78 in interest on the fund, and \$1,383.33 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$15,416,537.08. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,

 2/10/14

Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

CLIENT SECURITY FUND

Fiscal Year 2013 - 2014

Quarterly Account Summary

October 1, 2013 through December 31, 2013

Available Cash Balance as of 10/1/2013 \$ 15,977,964.53

Cash Receipts (10/1/2013 - 12/31/2013)

Fees Collected	\$	147,501.50
Donations Received	\$	47,544.11
Restitution	\$	1,383.33
Other Fund Revenue		0.00
Interest Earned ¹	\$	4,490.78
Bank Service Charges ²	\$	(3,130.25)
Bad Checks	\$	(1,380.00)
Restricted Revenue Refunds	\$	(3,085.00)
Total Cash Receipts	\$	193,324.47

Total Cash Available \$ 16,171,289.00

Cash Disbursements (10/1/2013 - 12/31/2013)

Claims	\$	561,374.00
Personal Services - Permanent Full Time	\$	71,660.33
Longevity	\$	1,307.50
Fringe Benefits	\$	61,725.54
Employee Allowances & Reportable Payments		0.00
Out Of State Travel	\$	600.86
Mileage Reimbursement	\$	603.83
Management Consultant Services - (Lawyers' Assistance Program)	\$	52,500.00
Credit Card Processing Services	\$	140.00
Fees and Permits	\$	382.25
Online Information Services	\$	30.32
Postage	\$	384.86
Translation & Interpretation		0.00
Office Equipment Lease/Rental	\$	625.41
IT Software Licenses/Rental	\$	3,140.40
Telephone	\$	227.66
Office Supplies	\$	48.96
Total Cash Disbursements	\$	754,751.92

Available Cash Balance as of 12/31/2013 \$ 15,416,537.08

¹Transfer from State Treasurer for Interest Earned (July-September 2013)

²Charge by State Treasurer for Lockbox Fees (July-September 2013)



CLIENT SECURITY FUND COMMITTEE

Second Floor - Suite One
287 Main Street
East Hartford, Connecticut 06118-1885
Security.Fund@jud.ct.gov
(860) 568-3450

MEMO TO: Chief Justice Chase T. Rogers
Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

DATE: February 10, 2014

SUBJECT: Annual Report of the Client Security Fund Committee

Pursuant to Practice Book §2-73(a), the following is a report of claims filed with the Client Security Fund Committee during calendar year 2013, and on the committee's activities during 2013 in connection with claims filed with the committee.

I. Claims Filed

During 2013, fifty-five (55) claims for reimbursement were filed with the Client Security Fund Committee. By comparison, seventy-nine (79) claims were filed in 2012. The total dollar amount of claims for reimbursement filed with the fund during 2013 was \$4,957,920.46, as compared with \$3,045,209.61 in claims filed during 2012.

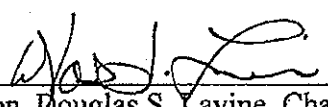
II. Activity on Claims

During 2013, the Client Security Fund Committee approved payments to claimants in sixteen (16) claims filed with the Committee. The total dollar amount of reimbursement approved during 2013 was \$1,066,933.20. By comparison, twenty-three (23) claims were approved for reimbursement during 2012, with a total amount of reimbursement approved of \$288,198.32. A list of the names of attorneys whose conduct resulted in awards of reimbursement during 2013, and the amounts awarded, is attached hereto. Fifty-six (56) claims were also denied during 2013.

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At the end of calendar year 2013, forty-one (41) claims remained pending in the total amount of \$4,416,587.70, as compared with fifty-seven (57) claims pending at the end of calendar year 2012 in the amount of \$4,059,744.67.

Respectfully submitted,


Hon. Douglas S. Lavine, Chair
Client Security Fund Committee

2/10/14

Attachment

Awards of Reimbursement 2013

Respondent	No. of Claims Approved	Amounts Awarded
Alfred J. Cronk	2	\$ 22,374.00
Deron S. Drumm	1	\$ 2,146.95
Clifford D. Fritzell III	1	\$ 287,718.87
Francis A. Minitier	2	\$ 3,018.19
Thomas W. Norton	3	\$ 4,835.00
Richard J. Novak	1	\$ 500.00
Morris I. Olmer	1	\$ 30,090.19
Robert A. Perrotti	1	\$ 1,500.00
Ronald J. Piombino	1	\$ 750.00
Benson A. Snäider	2	\$ 711,000.00
Jeffrey R. Van Kirk	1	\$ 3,000.00
	16	\$ 1,066,933.20

APPENDIX C

CLERKS FOR JUDICIAL DISTRICTS AND FOR GEOGRAPHICAL AREAS

(Section 51-51v of the General Statutes. Terms of office are for one year commencing July 1, 2014 for Judicial District Chief Clerks, Deputy Chief Clerks for JD Matters, First Assistant Clerks, Deputy Chief Clerks for GA Matters, and the Clerk of C.I.B.)

ANSONIA-MILFORD JUDICIAL DISTRICT

James F. Quinn

Judicial District Chief Clerk

G.A. 5 at DERBY

Lisa C. Groody

Deputy Chief Clerk for GA Matters

G.A. 22 at MILFORD

Jill A. Driscoll

Deputy Chief Clerk for GA Matters

DANBURY JUDICIAL DISTRICT

Louis A. Pace, Jr.

Judicial District Chief Clerk

Robin Johnson Smith

Deputy Chief Clerk for JD Matters

G.A. 3 at DANBURY

Geoffrey W. Stowell

Deputy Chief Clerk for GA Matters

FAIRFIELD JUDICIAL DISTRICT

Donald J. Mastrony

Judicial District Chief Clerk

Pasquale V. Spinelli

Deputy Chief Clerk for JD Matters

CLERKS FOR JUDICIAL DISTRICTS AND FOR GEOGRAPHICAL AREAS

G.A. 2 at BRIDGEPORT

Marcella I. Young

Deputy Chief Clerk for GA Matters

HARTFORD JUDICIAL DISTRICT

Robin C. Smith

Judicial District Chief Clerk

Joanne K. Murley

Deputy Chief Clerk for JD Matters

Delinda C. Walden

Deputy Chief Clerk for JD Matters

Vacant

First Assistant Clerk

G.A. 12 at MANCHESTER

Antonio D'Addeo

Deputy Chief Clerk for GA Matters

G.A. 13 at ENFIELD

Maria L. Reed-Cook

Deputy Chief Clerk for GA Matters

G.A. 14 at HARTFORD

Loreen Canter

Deputy Chief Clerk for GA Matters

Lorin Himmelstein

First Assistant Clerk

LITCHFIELD JUDICIAL DISTRICT

Brandon E. Pelegano

Judicial District Chief Clerk

Mark Shea

Deputy Chief Clerk for JD Matters

G.A. 18 at BANTAM

Eric Groody

Deputy Chief Clerk for GA Matters

CLERKS FOR JUDICIAL DISTRICTS AND FOR GEOGRAPHICAL AREAS

MIDDLESEX JUDICIAL DISTRICT

Vacant

Judicial District Chief Clerk

Jonathan W. Field

Deputy Chief Clerk for JD Matters

G.A. 9 at MIDDLETOWN

Robert P. Burke

Deputy Chief Clerk for GA Matters

NEW BRITAIN JUDICIAL DISTRICT

JD at NEW BRITAIN

Cynthia A. DeGoursey

Judicial District Chief Clerk

Patricia K. Lindlauf

Deputy Chief Clerk for JD Matters

G.A. 15 at NEW BRITAIN

Ralph C. Dagostine

Deputy Chief Clerk for GA Matters

G.A. 17 at BRISTOL

Laura Leigh

Deputy Chief Clerk for GA Matters

NEW HAVEN JUDICIAL DISTRICT

JD at NEW HAVEN

William Sadek

Judicial District Chief Clerk

Louis P. Fagnani, Jr.

Deputy Chief Clerk for JD Matters

Giovanni F. Spennato

Deputy Chief Clerk for JD Matters

John A. Dziekan

First Assistant Clerk

Nancy Bauer

First Assistant Clerk

CLERKS FOR JUDICIAL DISTRICTS AND FOR GEOGRAPHICAL AREAS

G.A. 23 at NEW HAVEN

Kathleen Naumann

Deputy Chief Clerk for GA Matters

JD at MERIDEN

Robert A. Axelrod

Judicial District Chief Clerk

Denise Chandler

Deputy Chief Clerk for JD Matters

G.A. 7 at MERIDEN

Gerri P. Duggan

Deputy Chief Clerk for GA Matters

NEW LONDON JUDICIAL DISTRICT

David S. Gage

Judicial District Chief Clerk

Linda C. Grelotti

Deputy Chief Clerk for JD Matters

Kraig A. Sanquedolce

Deputy Chief Clerk for JD Matters

G.A. 10 at NEW LONDON

Linda S. Worobey

Deputy Chief Clerk for GA Matters

G.A. 21 at NORWICH

Cara C. Parkinson

Deputy Chief Clerk for GA Matters

CLERKS FOR JUDICIAL DISTRICTS AND FOR GEOGRAPHICAL AREAS

STAMFORD-NORWALK JUDICIAL DISTRICT

Ann-Margaret Archer

Judicial District Chief Clerk

Norman A. Roberts

Deputy Chief Clerk for JD Matters

G.A. 1 at STAMFORD

Julie Vanam

Deputy Chief Clerk for GA Matters

G.A. 20 at NORWALK

Vacant

Deputy Chief Clerk for GA Matters

TOLLAND JUDICIAL DISTRICT

Roy Smith

Judicial District Chief Clerk

Stephen J. Santoro

Deputy Chief Clerk for JD Matters

G.A. 19 at ROCKVILLE

Janice Dagostino

Deputy Chief Clerk for GA Matters

William J. Salvatore

First Assistant Clerk

WATERBURY JUDICIAL DISTRICT

Philip Groth

Judicial District Chief Clerk

Richard L. Haas

Deputy Chief Clerk for JD Matters

G.A. 4 at WATERBURY

William M. Hoey

Deputy Chief Clerk for GA Matters

CLERKS FOR JUDICIAL DISTRICTS AND FOR GEOGRAPHICAL AREAS

WINDHAM JUDICIAL DISTRICT

Karen Berris

Judicial District Chief Clerk

Debora Kaszuba-Neary

Deputy Chief Clerk for JD Matters

G.A. 11 at DANIELSON

Gina Pickett

Deputy Chief Clerk for GA Matters

CENTRALIZED INFRACTIONS BUREAU

Stacey B. Manware

Clerk

CHIEF CLERK AND CLERKS FOR HOUSING MATTERS

(Section 51-51v of the General Statutes. Terms of office are for one year commencing July 1, 2014)

STATEWIDE

Suzanne Colasanto

Chief Clerk

HARTFORD JUDICIAL DISTRICT

Jeffery S. Hammer

Deputy Chief Clerk for Housing Matters

NEW BRITAIN JUDICIAL DISTRICT

Vacant

Deputy Chief Clerk for Housing Matters

FAIRFIELD JUDICIAL DISTRICT

Natale George Papallo

Deputy Chief Clerk for Housing Matters

NEW HAVEN JUDICIAL DISTRICT

Suzanne Colasanto

Chief Clerk for Housing Matters

STAMFORD-NORWALK JUDICIAL DISTRICT

Edmond A. O'Garro

Deputy Chief Clerk for Housing Matters

WATERBURY JUDICIAL DISTRICT

Dana M. Guiliano

Deputy Chief Clerk for Housing Matters

LOCAL GRIEVANCE PANELS

(Section 2-29 of the Practice Book. Terms shall commence on July 1, 2014 and appointments shall be for terms of three years. No person shall serve for more than two consecutive three-year terms. **ONLY THOSE INDIVIDUALS WHOSE NAMES ARE UNDERLINED ARE BEING CONSIDERED FOR APPOINTMENT OR REAPPOINTMENT.**)

		First Commenced Service On Grievance Panel	<u>Term</u>
<u>ANSONIA-MILFORD JUDICIAL DISTRICT</u>			
<u>Jay Pinto</u>	20 Elaine Road Milford, CT 06460	07/30/10	<u>07/01/14-17</u>
Atty. Howard I. Gemeiner	132 Temple Street 2 nd Fl New Haven, CT 06510	07/01/12	07/01/12-15
Atty. Neil A. Lieberthal	21 New Britain Ave Rocky Hill, CT 06067	07/01/10	07/01/13-16
Atty. Charles E. Tiernan III (Alternate)	52 Trumbull St. P. O. Box 1612 New Haven, CT 06506	10/25/13	07/01/13-16
<u>DANBURY JUDICIAL DISTRICT</u>			
Vacant			<u>07/01/14-17</u>
Atty. Rashmi Patel	1234 Summer Street 4 th Floor Stamford, CT 06905	03/08/13	07/01/12-15
Atty. Matthew L. Brovender (Alternate)	60 Long Ridge Road Suite 200 Stamford, CT 06902	07/01/10	07/01/13-16
Atty. James M. Lamontagne	Office of the Public Defender 17 Belden Avenue Norwalk, CT 0685	02/08/08	07/01/13-16

<u>FAIRFIELD JUDICIAL DISTRICT</u>		First Commenced Service On Grievance Panel	<u>Term</u>
<u>Paul Hughes</u>	282 Brooklawn Terrace Fairfield, CT 06825	01/15/10	<u>07/01/14-17</u>
Atty. Michael R. Corsillo	10 Byington Place Norwalk, CT 06850	07/01/07	07/01/12-15
Atty. Jeffrey W. Tuccio (Alternate)	235 Wakelee Avenue Ansonia, CT 06401	08/24/12	07/01/13-16
Atty. Jonathan Berchem	75 Broad Street Milford, CT 06460	07/01/13	07/01/13-16

HARTFORD JUDICIAL DISTRICT

For G.A.13 and the city of Hartford

<u>Atty. Daniel Adelman</u>	27 Elm Street New Haven, CT 06510	07/01/11	<u>07/01/14-17</u>
Frank Barrett	33 Colony Road West Hartford, CT 06117	07/01/12	07/01/12-15
<u>Atty. Charles Filardi, Jr.</u> (Alternate)	Filardi Law Offices 65 Trumbull Street 2 nd Fl New Haven, CT 06510	07/01/11	<u>07/01/14-17</u>
Atty. Robert M. Brennan	Carter & Civitello One Bradley Road Woodbridge, CT 06525	07/01/10	07/01/13-16

**First
Commenced
Service On
Grievance
Panel Term**

LITCHFIELD JUDICIAL DISTRICT

<u>Lawrence M. Sweeney</u>	160 Island Trail Morris, CT 06763	10/11/13	<u>07/01/14-17</u>
Atty. Jennifer E. Davis	141 Dowd Avenue, 2 nd Floor P. O. Box 286 Canton, CT 06019	07/01/12	07/01/12-15
Atty. Thomas P. Pettinicchi (Alternate)	DGP Law Center 465 Straits Turnpike P. O. Box 670 Watertown, CT 06795	07/01/13	07/01/13-16
Atty. Sean G. Fitzmaurice	59 Central Avenue Waterbury, CT 06702	07/01/13	07/01/13-16

MIDDLESEX JUDICIAL DISTRICT

<u>Michael J. Cubeta, Jr.</u>	1203 Arbutus Street Middletown, CT 06457	07/01/11	<u>07/01/14-17</u>
Atty. Maureen O'Connor	The Travelers Companies One Tower Square MS4A Hartford, CT 06183-1050	07/01/09	07/01/12-15
Atty. Tucker McWeeny (Alternate)	Szilagyi and Daly 118 Oak Street Hartford, CT 06106	07/01/11	07/01/13-16
Attorney Andrew W. Krevolin	Rogin Nassau	07/01/13	07/01/13-16

**NEW BRITAIN JUDICIAL DISTRICT and the
HARTFORD JUDICIAL DISTRICT for G.A. 12
AND THE TOWNS OF AVON, BLOOMFIELD,
CANTON, FARMINGTON AND WEST HARTFORD**

		First Commenced Service On Grievance Panel	<u>Term</u>
Atty. Sheila Hayre	New Haven Legal Assistance 426 State Street New Haven, CT 06510-2018	07/01/12	07/01/12-15
Atty. Peter W. Gillies (Alternate)	429 Ridge Road Middletown, CT 06457	07/23/10	07/01/13-16
<u>P. Dale Brown</u>	158 Johnnycake Mt. Road Burlington, CT 06013	07/01/11	<u>07/01/14-17</u>
Atty. Michael D. Quinn	636 Broad Street Meriden, CT 06450	07/01/13	07/01/13-16

NEW HAVEN JUDICIAL DISTRICT

For the towns of Bethany, New Haven and Woodbridge

<u>Frank Pfeiffer</u>	107 Cheshire Road Bethany, CT 06542	07/01/14	<u>07/01/14-17</u>
Atty. John Mager (Alternate)	Mager & Mager 87 River Street Milford, CT 06460	07/01/12	07/01/13-16
Atty. William M. Bloss	350 Fairfield Avenue Bridgeport, CT 06604	07/01/10	07/01/13-16
Atty. Thomas Lynch	64 Cherry Street P. O. Box 453 Milford, CT 06460	07/01/11	07/01/12-15

NEW HAVEN JUDICIAL DISTRICT**For G.A. 7 and the towns of Branford, East Haven,
Guilford, Madison and North Branford.****First
Commenced
Service On
Grievance
Panel Term**

<u>Gus Peverada</u>	21 Deer Path Road Branford, CT 06405	07/01/14	<u>07/01/14-17</u>
Atty. Anita DiGioia (Alternate)	53 River Street Milford, CT 06460	07/01/13	07/01/13-16
Atty. Brian E. Spears	33 Riverside Avenue Westport, CT 06880	07/01/09	07/01/12-15
Atty. Timothy Patrick Brady	1 Hartford Plaza T-6-93 Hartford, CT 06115	07/01/10	07/01/13-16

NEW LONDON JUDICIAL DISTRICT

<u>Kathleen M. McCarty</u>	226 Great Neck Road Waterford, CT 06385	07/01/14	<u>07/01/14-17</u>
Atty. Richard Paladino	Paladino and Welsh 33 Main St. Suite P Old Saybrook, CT 06475	07/01/12	07/01/12-15
Atty. Rachel Sarantopoulos	143 School Street Danielson, CT 06239	07/01/13	07/01/13-16
Atty. Kenneth McDonnell (Alternate)	P.O. Box 959 Essex, CT 06426	07/01/13	07/01/13-16

STAMFORD-NORWALK JUDICIAL DISTRICT

		First Commenced Service On Grievance Panel	<u>Term</u>
Vacant			<u>07/01/14-17</u>
Atty. Frank O'Reilly	167 Old Post Road Southport, CT 06890	07/01/12	07/01/12-15
Atty. Sefton N. Brown, Jr.	135 Elm St. P. O. Box 980 Bridgeport, CT 06601	09/20/13	07/01/13-16
Atty. Jerome Larracuenta (Alternate)	1000 Bridgeport Ave. Suite 102 Shelton, CT 06484	09/20/13	07/01/13-16

TOLLAND JUDICIAL DISTRICT

<u>Kate Starkey</u>	91 A West St. Columbia, CT 06237	07/01/14	<u>07/01/14-17</u>
Atty. Jeffrey Mickelson	433 South Main Street Suite 313 West Hartford, CT 06110	07/01/09	07/01/12-15
Atty. Stephen J. Adams	158 Main Street P.O. Box 682 Putnam, CT 06260	07/01/13	07/01/13-16
Atty. Matthew Potter (Alternate)	447 Center Street Manchester, CT 06040	07/01/13	07/01/13-16

<u>WATERBURY JUDICIAL DISTRICT</u>		First Commenced Service On Grievance Panel	<u>Term</u>
Vacant			<u>07/01/14-17</u>
Atty. Kathleen Allsup	25 Audubon Lane Shelton, CT 06484	07/01/09	07/01/12-15
Atty. Bruce Diamond	365 Highland Avenue Cheshire, CT 06410	07/01/13	07/01/13-16
Atty. Helen D. Murphy (Alternate)	234 Church Street 5 th Floor New Haven, CT 06510-1881	07/01/10	07/01/13-16

WINDHAM JUDICIAL DISTRICT

<u>Peter Barbone</u>	108 Valley Street Willimantic, CT 06226	07/01/11	<u>07/01/14-17</u>
Atty. Christopher P. Anderson	82 Chelsea Harbor Drive Norwich, CT 06360	07/01/12	07/01/12-15
Atty. John A. Collins (Alternate)	2 Union Place New London, CT 06320	07/01/13	07/01/13-16
Atty. Mark Spurling	130 Union Street P. O. Box 756 Vernon, CT 06066	07/01/13	07/01/13-16

STATEWIDE GRIEVANCE COMMITTEE

(* - indicates this person is a non-attorney)

(Section 2-33 of the Practice Book. Terms shall commence on July 1, 2014 and appointments shall be for terms of three years. One member shall be designated as chair and another as vice chair. No member shall serve for more than two consecutive three year terms excluding any appointments for less than a full term. **ONLY THOSE INDIVIDUALS WHOSE NAMES ARE UNDERLINED ARE BEING CONSIDERED FOR APPOINTMENT OR REAPPOINTMENT.**)

<u>Member</u>	<u>Address</u>	<u>Judicial District</u>	<u>First Commenced Service Under 7/1/86 Rules</u>	<u>Term</u>
Atty. David A. Slossberg	147 North Broad Street P. O. Box 112 Milford, CT 06460	Ansonia/ Milford	12/14/12	07/01/13-16
Atty. Frank J. Riccio II (Chair)	P.O. Box 491 Bridgeport, CT 06601	Danbury	03/13/09	07/01/13-16
* Patrick Sheridan	45 Redwood Drive Bethel, CT 06801	Danbury	03/11/11	07/01/13-16
Atty. J. Kevin Golger	McNamara and Kenney 815 Main St. 2 nd Fl Bridgeport, CT 06604	Fairfield	07/01/12	07/01/12-15
Atty. Christopher T. Goulden	1000 Bridgeport Avenue Suite 102 Shelton, CT 06484	Fairfield	07/01/10	07/01/13-16
* Rev. Simon Castillo	555 Maplewood Avenue Bridgeport, CT 06604	Fairfield	07/01/10	07/01/13-16
Atty. William J. O'Sullivan	100 Great Meadow Road Suite 100 Wethersfield, CT 06109-2355	Hartford	09/04/09	07/01/12-15
Atty. Noble Allen (Vice-Chair)	20 Church Street 18 th Floor Hartford, CT 06103	Hartford	09/04/09	07/01/12-15

STATEWIDE GRIEVANCE COMMITTEE

(* - indicates this person is a non-attorney)

<u>Member</u>	<u>Address</u>	<u>Judicial District</u>	<u>First Commenced Service Under 7/1/86 Rules</u>	<u>Term</u>
* <u>Joan Gill</u>	P. O. Box 952 Litchfield, CT 06759	Litchfield	10/14/11	<u>07/01/14-17</u>
<u>Atty. Sue A. Cousineau</u>	516 Main Street Suite 25 Middletown, CT 06457	Middlesex	07/01/11	<u>07/01/14-17</u>
Atty. Joseph D. Foti	700 West Johnson Avenue Suite 207 Cheshire, CT 06410	New Britain	07/01/10	07/01/13-16
Atty. Thomas J. Sansone	Carmody & Torrance 195 Church Street P. O Box 1950 New Haven, CT 06509	New Haven	07/01/12	07/01/12-15
* <u>Joseph J. Matthews</u>	18 Robins Lane Monroe, CT 06468	New Haven	07/01/14	<u>07/01/14-17</u>
* Robert Myers	11 Binney Road New London, CT 06320	New London	07/01/12	07/01/12-15
Atty. Donna E. Woviotis	Superior Court 70 Huntington Street New London, CT 06320	New London	07/01/10	07/01/13-16
* Judith Freedman	17 Crawford Road Westport, CT 06880	Stamford/ Norwalk	07/01/10	07/01/13-16
Atty. Bruce Koffsky	1150 Bedford St. Stamford, CT 06905	Stamford/ Norwalk	09/06/13	07/01/13-16

STATEWIDE GRIEVANCE COMMITTEE

(* - indicates this person is a non-attorney)

<u>Member</u>	<u>Address</u>	<u>Judicial District</u>	First Commenced Service Under 7/1/86 <u>Rules</u>	<u>Term</u>
Atty. James J. Sullivan	773 Main Street Manchester, CT 06040	Tolland	07/01/13	07/01/13-16
* <u>Jonathan Shugarts</u>	230 Perkins Ave., Apt # 9 Waterbury, CT 06704	Waterbury	04/18/14	<u>07/01/14-17</u>
Atty. Kara J. Summa	228 Meadow Street Suite 303 Waterbury, CT 06702	Waterbury	07/01/13	07/01/13-16
<u>Atty. Tracie Molinaro</u>	50 Rte. 171 Woodstock, CT 06281	Windham	07/01/14	<u>07/01/14-17</u>

STATEWIDE BAR COUNSEL

(Section 2-34 of the Practice Book provides for the appointment of a statewide bar counsel and such additional attorneys to act as assistant bar counsel for a term of one year commencing July 1, 2014.)

Attorney Michael P. Bowler, Statewide Bar Counsel

Attorney Christopher G. Blanchard, First Assistant Bar Counsel

Attorney Christopher L. Slack, First Assistant Bar Counsel

Attorney Frances Mickelson-Dera, First Assistant Bar Counsel

Attorney Darlene F. Reynolds, Assistant Bar Counsel

Attorney Cathy A. Dowd, Assistant Bar Counsel

Attorney Elizabeth M. Rowe, Assistant Bar Counsel

Attorney Kerry Johnson O'Connell, Assistant Bar Counsel

Any other lawyer in the Legal Services Division, except Disciplinary Counsel, to act as Assistant Bar Counsel.

BAR COUNSEL
FOR LOCAL GRIEVANCE PANELS

(Section 2-30 of the Practice Book. Terms are for one year commencing July 1, 2014. Appointees may serve any grievance panel. Panels to be principally served by each counsel are noted below.)

BAR COUNSEL

<u>Name</u>	<u>Address</u>	
<u>Steven P. Kulas</u>	12 Bank Street Seymour, CT 06483	J.D. of Fairfield
<u>Atty. John J. Quinn</u>	248 Hudson Street Hartford, 06106-1777	J.D. of Hartford (for G.A.13 and the city of Hartford)
<u>Atty. Richard T. Florentine</u>	407 Old Toll Road Madison, CT 06443	J.D.'s of New Britain, Tolland and Hartford (for G.A. 12 and the towns of Avon, Bloomfield Canton, Farmington and West Hartford)
<u>Atty. Michael A. Georgetti</u>	67 Russ Street Hartford, CT 06106	J.D. of New Haven (for the towns of Bethany, New Haven and Woodbridge)
<u>Attorney Jose Adrian Rebollo</u>	44 Lyon Terrace Bridgeport, CT 06604	J.D.'s of Ansonia-Milford and New Haven (for G.A. 7 and the towns of Branford, East Haven, Guilford, Madison and North Branford)
<u>Atty. Gail S. Kotowski</u>	397 Church Street Guilford, CT 06437-0037	J.D.'s of Danbury, Litchfield and Waterbury
<u>Atty. Gregory A. Benoit</u>	P.O. Box 270 Waterford, CT 06385	J.D.'s of Middlesex, New London and Windham
<u>Eugene J. Riccio</u>	P.O. Box 9118 Bridgeport, CT 06604	J.D. of Stamford-Norwalk

**BAR COUNSEL AND INVESTIGATORS
FOR LOCAL GRIEVANCE PANELS**

**INVESTIGATORS
(Statewide Duties)**

Paul J. Piasecki, Jr., C.P.A.
Piasecki and Company/Suite 203
53 Old Kings Highway North
Darien, CT 06820

CHIEF DISCIPLINARY COUNSEL AND DISCIPLINARY COUNSEL

(Section 2-34A of the Practice Book provides for the appointment of a chief disciplinary counsel and such disciplinary counsel as are necessary, for a term of one year commencing July 1, 2014)

Attorney Patricia King, Chief Disciplinary Counsel

Attorney Suzanne B. Sutton, First Assistant Chief Disciplinary Counsel

Attorney Karyl L. Carrasquilla, Assistant Chief Disciplinary Counsel

Attorney Beth L. Baldwin, Assistant Chief Disciplinary Counsel

Attorney Desi Imetovski, Assistant Chief Disciplinary Counsel

STATE BAR EXAMINING COMMITTEE, SUPERIOR COURT

(Section 2-3 of the Practice Book provides the term of office of each member, one of whom must be a judge, shall be three years from the first day of September succeeding his appointment, and terms shall be continued to be arranged so that those of eight members shall expire annually. Vacancies shall be filled by the judges for unexpired terms only. **ONLY THOSE INDIVIDUALS WHOSE NAMES ARE UNDERLINED ARE BEING CONSIDERED FOR APPOINTMENT OR REAPPOINTMENT.**)

<u>Name</u>		<u>Initial Appointment</u>	<u>3-Year Term</u>
<u>FAIRFIELD COUNTY</u>			
<u>Atty. Frederic S. Ury</u>	Bridgeport	09/01/10	<u>09/01/14 - 08/31/17</u>
Atty. Edward Gavin	Bridgeport	09/01/11	09/01/12 - 08/31/15
Atty. Karen L. Karpie	Bridgeport	09/01/09	09/01/12 - 08/31/15
Atty. Eric M. Gross	Bridgeport	09/01/07	09/01/13 - 08/31/16
<u>HARTFORD COUNTY</u>			
Hon. Nina F. Elgo	Hartford, CT	09-01-12	09/01/12 - 08/31/15
Atty. Matthew Wax Krell	Hartford	09/01/06	09/01/12 - 08/31/15
Atty. Michael J. Whelton	E. Hartford	10/25/84	09/01/12 - 08/31/15
Atty. Denise Martino Phelan	Hartford	09/01/92	09/01/13 - 08/31/16
Atty. Richard Banbury	Hartford	09/01/80	09/01/13 - 08/31/16
<u>Atty. Deborah L. Bradley</u>	Hartford	03/15/94	<u>09/01/14 - 08/31/17</u>
<u>Atty. Robert D. Silva</u>	Hartford	09/01/11	<u>09/01/14 - 08/31/17</u>
<u>Hon. Elliot N. Solomon</u>	West Hartford	03/12/14	<u>09/01/14 - 08/31/17</u>

STATE BAR EXAMINING COMMITTEE, SUPERIOR COURT

<u>Name</u>		<u>Initial Appointment</u>	<u>3-Year Term</u>
<u>LITCHFIELD COUNTY</u>			
<u>Atty. David A. Moraghan</u>	Torrington	09/01/91	<u>09/01/14 - 08/31/17</u>
Atty. Anne C. Dranginis	Litchfield	09/21/78	09/01/13 - 08/31/16
<u>MIDDLESEX COUNTY</u>			
Atty. Sharon A. Peters	Portland	09/01/97	09/01/12 - 08/31/15
<u>Atty. C. Ian McLachlan</u>	Chester	06/05/07	<u>09/01/14 - 08/31/17</u>
<u>NEW HAVEN COUNTY</u>			
Atty. Adam Mantzaris	Wallingford	09/01/91	09/01/12 - 08/31/15
Atty. Alix Simonetti	New Haven	10/02/00	09/01/12 - 08/31/15
Atty. Gail E. McTaggart	Waterbury	09/01/89	09/01/13 - 08/31/16
Atty. Irving H. Perlmutter	New Haven	09/01/83	09/01/13 - 08/31/16
Atty. Earl F. Dewey, II	Wallingford	09/27/00	09/01/13 - 08/31/16

STATE BAR EXAMINING COMMITTEE, SUPERIOR COURT

<u>Name</u>		<u>Initial Appointment</u>	<u>3-Year Term</u>
<u>NEW LONDON COUNTY</u>			
Atty. Raymond L. Baribeault	New London	09/28/11	09/01/13 - 08/31/16
<u>TOLLAND COUNTY</u>			
Atty. Cynthia Baer	Vernon	02/05/13	<u>09/01/14 - 8/31/17</u>
<u>WINDHAM COUNTY</u>			
Atty. Kevin C. Connors	Willimantic	09/01/05	<u>09/01/14 - 08/31/17</u>

**STANDING COMMITTEE ON RECOMMENDATIONS
FOR ADMISSION TO THE BAR, SUPERIOR COURT**

(Section 2-12 of the Practice Book provides there shall be in each county a standing committee on recommendations for admission, consisting of not less than three nor more than seven members of the bar of that county, who shall be appointed by the judges of the superior court to hold office for three years and until successors are appointed. **ONLY THOSE INDIVIDUALS WHOSE NAMES ARE UNDERLINED ARE BEING CONSIDERED FOR APPOINTMENT OR REAPPOINTMENT.**)

County

Term: 3 Years

FAIRFIELD COUNTY

Atty. Douglas P. Mahoney	07/01/12 - 15
Atty. Carolyn R. Linsey	07/01/13 - 16
Atty. Edward F. Czepiga	07/01/12 - 15
Atty. Robert W. Lotty	07/01/13 - 16
Atty. Cindy L. Robinson	07/01/13 - 16
<u>Atty. Auden Grogins</u>	<u>07/01/14 - 17</u>

HARTFORD COUNTY

Atty. Rene Rosado	07/01/12 - 15
Atty. Gary Friedle	07/01/13 - 16
Atty. Richard R. Brown	07/01/13 - 16
Atty. Monica Lafferty Harper	07/01/13 - 16
Atty. John B. Nolan	07/01/13 - 16
Atty. John Matulis	07/01/13 - 16
<u>Atty. David Curry</u>	<u>07/01/14 - 17</u>

**STANDING COMMITTEE ON RECOMMENDATIONS
FOR ADMISSION TO THE BAR, SUPERIOR COURT**

County

Term: 3 Years

LITCHFIELD COUNTY

Atty. Jill Brakeman	07/01/12 - 15
Atty. Louise F. Brown	07/01/13 - 16
Atty. Frank H. Finch, Jr.	07/01/13 - 16

MIDDLESEX COUNTY

Atty. Kenneth J. McDonnell	07/01/13 - 16
<u>Atty. Michael Dwyer</u>	<u>07/01/14 - 17</u>
<u>Atty. Linda T. Douglas</u>	<u>07/01/14 - 17</u>

NEW HAVEN COUNTY

<u>Atty. Michael A. Fasano, Sr.</u>	<u>07/01/14 - 17</u>
Atty. Dennis W. Gillooly	07/01/12 - 15
Atty. Howard K. Levine	07/01/13 - 16
Atty. John R. Donovan	07/01/13 - 16
<u>Atty. Stacy Errante</u>	<u>07/01/14 - 17</u>
Atty. Timothy P. Dillon	07/01/12 - 15
Atty. Cynthia C. Bott	07/01/12 - 15

**STANDING COMMITTEE ON RECOMMENDATIONS
FOR ADMISSION TO THE BAR, SUPERIOR COURT**

County

Term: 3 Years

NEW LONDON COUNTY

Atty. Kerin M. Woods

07/01/13 - 16

Atty. Beth Steele

07/01/13 - 16

Atty. Lonnie Braxton

07/01/14 - 17

TOLLAND COUNTY

Atty. Timothy J. Johnston

07/01/12 - 15

Atty. Caryl E. Balskus

07/01/12 - 15

Atty. Kerry Tarpey

07/01/14 - 17

WINDHAM COUNTY

Atty. B. Paul Kaplan

07/01/14 - 17

Atty. Gina Mancini Pickett

07/01/14 - 17

Atty. Rachel L. Sarantopoulos

07/01/14 - 17

MISCELLANEOUS

The Executive Committee is empowered to make any appointments which may have been inadvertently omitted from the lists submitted herein.



MEMORANDUM

DATE: May 21, 2014

TO: Judicial Branch Executive Committee

FROM: Peter Arakas, President

RE: Connecticut Practice Book, Rules of Professional Conduct, Rule 1.15

In accordance with the Connecticut Practice Book, Rules of Professional Conduct, Rule 1.15, the Connecticut Bar Foundation (CBF) submits the following information regarding Section (h)(4)(B)(i) through Section (h)(4)(B)(viii):

1. Section (h)(4)(B)(i): its proposed goals and objectives for the program.

The Interest on Lawyers' Trust Accounts (IOLTA)/Interest on Trust Accounts (IOTA) revenue during 2013 continued to decline. We are fortunate that the revenue from the Judicial Branch Grants-in-Aid program and the Court Fees Grants-in-Aid program supplemented the funds available for legal services to the poor in Connecticut.

The CBF's proposed goals and objectives for the IOLTA/IOTA program are:

- to increase funds available for the provision of legal services for low-income people through
 - the Leadership Bank Program. See list and description attached.
 - cy pres awards
- to expand delivery systems through technology initiatives
- to continue to support the joint legal services website, www.ctlawhelp.org
- to improve coordination among providers and the courts
- to maintain low administrative costs and to invest prudently
- to maintain the CBF website, www.ctbarfdn.org, to provide information to lawyers and banks about the IOLTA/IOTA program
- to increase donations for legal services via the Donate Now button on the CBF website, www.ctbarfdn.org,
- to oversee the grantmaking process, assuring that grants are expended for their designated purpose in accordance with Connecticut Public Act 09-152 and the Connecticut Practice Book, Rules of Professional Conduct, Rule 1.15.

2. Section (h)(4)(B)(ii): the procedures it has established to avoid discrimination in the awarding of grants.

The CBF requires all recipients of grants to provide anti-discrimination assurances to the Foundation. The CBF also requires that the law schools administering scholarship funds granted by the CBF provide such assurances to the CBF. See Item #3 on attached Law School Assurance Form.

3. Section (h)(4)(B)(iii): information regarding the insurance and fidelity bond it has procured.

The CBF Directors and Officers Liability Insurance policy with a \$5 million limit of liability was renewed for the period 1/14/14-1/14/15.

4. Section (h)(4)(B)(iv): a description of the recommendations and advice it has received from the Advisory Panel established by General Statutes §51-81c and the action it has taken to implement such recommendations and advice.

The CBF is unaware of any appointees to the Advisory Panel at this time. Therefore, no recommendations or advice have been received.

5. Section (h)(4)(B)(v): the method it utilizes to allocate between the two uses of funds provided for in §51-81c and the frequency with which it disburses funds for such purposes.

The CBF Board of Directors voted to allocate \$30,000 for law school scholarships in 2014. Each of the three in-state law schools receives one-third (1/3) of the available scholarship funds. These funds are disbursed once a year to the law schools to provide scholarships to Connecticut residents who meet the financial need criteria of the law school.

Further, the CBF Board of Directors voted to disburse \$2,536,873 in grants to legal services providers and allocated \$194,649 for administrative expenses in 2014. The CBF disburses IOLTA and IOTA funds to legal services providers on a monthly basis.

6. Section (h)(4)(B)(vi): the procedures it has established to monitor grantees to ensure that any limitations or restrictions on the use of the granted funds have been observed by the grantees, such procedures to include the receipt of annual audits of each grantee showing compliance with grant awards and setting forth quantifiable levels of services that each grantee has provided with grant funds.

The CBF analyzes applications to confirm that the grantees are organized and function primarily to provide legal services to the poor and are non-profit tax-exempt organizations recognized as Section 501(c)(3) of the Internal Revenue Code. The CBF requires the grantees to supply annual audits including letters from the grantees' auditors stating compliance with the requirements in the application and the assurances. The CBF also requires detailed information in the grant applications, the six-month interim reports,

semi-annual budget reports, and a final accounting of the funds spent. See attached 2014 IOLTA/CFGIA Grant Applications and 2013 Budget to Actual Reports. In addition, the CBF hires an independent auditor to review the papers of the legal services providers' auditors and submit a report to the CBF. This year, AIDS Legal Network, Children's Law Center, Connecticut Fair Housing Center, Greater Hartford Legal Aid, New Haven Legal Assistance will be subject to these reviews.

Additionally, the President and the Executive Director of the CBF meet regularly with the directors of the legal services programs, and the Grantmaking Committee meets with representatives of the legal services providers and members of their Boards to discuss the grants.

7. Section (h)(4)(B)(vii): the procedures it has established to ensure that no funds that have been awarded to grantees are used for lobbying purposes.

The grantees provide the CBF with assurances that they use no IOLTA funds for lobbying. See Item #6 on the attached Grant Applicant Assurance Form. The grantees' audits also include a statement that lobbying expenses are not included in the IOLTA budget.

8. Section (h)(4)(B)(viii): the procedures it has established to segregate funds to be disbursed under the program from other funds of the organization.

The CBF maintains separate accounts for IOLTA funds, Judicial Branch Grants-in-Aid funds, Court Fees Grants-in-Aid funds, and CBF funds. The CBF auditor shows the IOLTA funds as "Temporarily restricted" in the 2013 Audit Report in accordance with Government Auditing Standards. Financial Statements will be sent upon completion.

Enc.



MEMORANDUM

DATE: May 21, 2014

TO: Judicial Branch Executive Committee

FROM: Peter Arakas, President

RE: Report on the 2013/2014 Judicial Branch Grants-in-Aid Program as Directed by the Memorandum of Understanding between the Judicial Branch and the Connecticut Bar Foundation Regarding Funds Appropriated to the Judicial Branch for the Delivery of Civil Legal Representation by Nonprofit Organizations to Poor People in Connecticut

In accordance with the Memorandum of Understanding between the Judicial Branch and the Connecticut Bar Foundation Regarding Funds Appropriated to the Judicial Branch for the Delivery of Civil Legal Representation by Nonprofit Organizations to Poor People in Connecticut, the Connecticut Bar Foundation submits the following information regarding Section 3(B)(i) through Section 3(B)(v):

1. Section 3(B)(i): its proposed goals and objectives for the program.

The proposed goals and objectives of the Connecticut Bar Foundation (CBF) for the Judicial Branch Grants-in-Aid (JBGLA) program are:

- to oversee the grantmaking process, assuring that grants are expended for their designated purpose in accordance with Connecticut General Statute Section 51-81c and the requirements of the 2010 Memorandum of Understanding between the Judicial Branch and the CBF.
- to maintain low administrative costs.

2. Section 3(B)(ii): the procedures it has established to avoid discrimination in the awarding of grants.

The CBF requires all recipients of grants to provide anti-discrimination assurances to the Foundation. See #9 on the attached Judicial Branch Grants-in-Aid Application Assurance Form.

3. Section 3(B)(iii): the procedures it has established to monitor grants-in-aid recipients to ensure that any limitations or restrictions on the use of the granted funds have been

observed by the grants-in-aid recipients, such procedures to include the receipt of annual audits of each grants-in-aid recipient showing compliance with grant awards and setting forth quantifiable levels of services that each grants-in-aid recipient has provided with grant funds.

The CBF analyzes applications to confirm that the grantees are organized and function primarily to provide legal services to the poor and are non-profit tax-exempt organizations recognized as Section 501(c)(3) organizations by the IRS. The CBF requires the grantees to supply annual audits including letters from the grantees' auditors stating compliance with the requirements in the application and the assurances. The CBF also requires detailed information in the grant applications, the six-month interim reports, semi-annual budget reports, and a final accounting of the funds spent.

In addition, the CBF retains an independent auditor to review the papers of the legal services providers' auditors and submit a report to the CBF.

The grantees provide the CBF with signed assurances that they comply with any limitations or restrictions on the use of the grants-in-aid funds. Annual audits are submitted with the IOLTA applications and are also kept on file with the JBGIA applications.

The CBF requires all grants-in-aid recipients to provide Case Load and Case Distribution information.

Additionally, the President and the Executive Director of the CBF meet regularly with the directors of the legal services programs, and the Grantmaking Committee meets with representatives of the legal services providers and members of their Boards to discuss the grants.

4. Section 3(B)(iv): the procedures it has established to ensure that no funds that have been awarded to grants-in-aid recipients are used for any purpose other than the purposes set out in this MOU.

The grantees provide the CBF with assurances that they will provide legal services to the poor consistent with the overall purpose of the program.

5. Section 3(B)(v): the procedures it has established to segregate funds received under this MOU from other funds of the organization.

Separate checking accounts are kept for the IOLTA funds, the Judicial Branch Grants-in-Aid funds, and the CBF funds. A State Single Audit was conducted in accordance with C.G.S. Sections 4-230 to 4-236. Financial Statements will be sent upon completion.



MEMORANDUM

DATE: May 21, 2014

TO: Judicial Branch Executive Committee

FROM: Peter Arakas, President

RE: Report as Directed by the Memorandum of Understanding between the Judicial Branch and the Connecticut Bar Foundation Regarding the Transfer of Funds Received as a Result of Increases in Certain Court Fees to the Connecticut Bar Foundation for the Purpose of Funding the Delivery of Legal Services to the Poor

In accordance with the Memorandum of Understanding between the Judicial Branch and the Connecticut Bar Foundation Regarding the Transfer of Funds Received as a Result of Increases in Certain Court Fees to the Connecticut Bar Foundation for the Purpose of Funding the Delivery of Legal Services to the Poor, the Connecticut Bar Foundation submits the following information regarding Section 3(B)(i) through Section 3(B)(v):

1. Section 3(B)(i): its proposed goals and objectives for the distribution.

The proposed goals and objectives of the Connecticut Bar Foundation (CBF) for the Court Fees Grants-in-Aid (CFGIA) program are:

- to oversee the grantmaking process, assuring that grants are expended for their designated purpose in accordance with Connecticut Public Act 09-152 and the requirements of the 2009 Memorandum of Understanding between the Judicial Branch and the CBF.
- to maintain low administrative costs.

2. Section 3(B)(ii): the procedures it has established to avoid discrimination in the awarding of grants.

The CBF requires all recipients of grants to provide anti-discrimination assurances to the Foundation. See #9 on the attached Court Fees Grants-in-Aid Application Assurance Form.

3. Section 3(B)(iii): the procedures it has established to monitor grant-in-aid recipients to ensure that any limitations or restrictions on the use of the granted funds have been observed by the grant recipients, such procedures to include the receipt of annual audits of each grant recipient showing compliance with grant awards and setting forth quantifiable levels of services that each grants-in-aid recipient has provided with grant funds.

The CBF analyzes applications to confirm that the grantees are organized and function primarily to provide legal services to the poor and are non-profit tax-exempt organizations recognized as Section 501(c)(3) organizations by the IRS. The CBF requires the grantees to supply annual audits including letters from the grantees' auditors stating compliance with the requirements in the application and the assurances. The CBF also requires detailed information in the grant applications, the six-month interim reports, semi-annual budget reports, and a final accounting of the funds spent. See attached 2014 IOLTA/Court Fees Grants-in-Aid Applications.

In addition, the CBF retains an independent auditor to review the papers of the legal services providers' auditors and submit a report to the CBF. We provide the information to the judges as soon as we receive it.

The grantees provide the CBF with signed assurances that they comply with any limitations or restrictions on the use of the grants-in-aid funds. See attached Assurance Form. Annual audits are submitted with the IOLTA applications and are also kept on file.

Additionally, the President and the Executive Director of the CBF meet regularly with the directors of the legal services programs, and the Grantmaking Committee meets with representatives of the legal services providers and members of their Boards to discuss the grants.

4. Section 3(B)(iv): the procedures it has established to ensure that no funds that have been awarded to grant-in-aid recipients are used for any purpose other than the purposes set out in this MOU.

The grantees provide the CBF with assurances that they will provide legal services to the poor consistent with the overall purpose of the program. See #3 on the attached Court Fees Grants-in-Aid Assurance Form.

5. Section 3(B)(v): the procedures it has established to segregate funds received under this MOU from other funds of the organization.

Separate checking accounts are kept for the IOLTA funds, the Judicial Branch Grants-in-Aid funds, the Court Fees Grants-in-Aid funds, and the CBF funds. A State Single Audit was conducted in accordance with C.G.S. Sections 4-230 to 4-236. Financial Statements will be sent upon completion.

Att.