## **CLIENT SECURITY FUND COMMITTEE**



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**MEMO TO:** 

Chief Justice William J. Sullivan

Members, Executive Committee of the Superior Court

**MEMO FROM:** 

Justice Joette Katz, Chair

**Client Security Fund Committee** 

DATE:

February 6, 2006

**SUBJECT:** 

**Annual Report of the Client Security Fund Committee** 

Pursuant to Practice Book §2-72(e), the following is a report of claims filed with the Client Security Fund Committee during calendar year 2005, and on the Committee's activities during 2005 in connection with claims filed with the Committee.

## I. Claims Filed

During 2005, two hundred and four (204) claims for reimbursement were filed with the Client Security Fund Committee. In comparison, one hundred and three (103) claims were filed in 2004, and one hundred and twenty three (123) claims were filed in 2003. The claims filed during calendar year 2005 represent the largest number of claims filed during a single year since the fund's inception. The total dollar amount of claims for reimbursement filed with the fund during 2005 was \$18,004,864.15, as compared with \$3,239,253.94 during 2004.

## II. Activity on Claims

During 2005, the Client Security Fund Committee approved payments to claimants in fifty (50) claims filed with the Committee. The total dollar amount of reimbursement approved during 2005 was \$389,699.81. Since its inception, the Committee has paid over 6.1 million dollars from the client security fund to victims of dishonest conduct on the part of Connecticut attorneys. A list of the names of attorneys whose conduct resulted in awards of reimbursement during 2005, and the amounts awarded, is attached hereto. Ninety (90) claims were also denied during 2005.

The Committee had two hundred and sixty (260) claims pending at the end of 2005, in the total amount of \$9,502,614.19, as compared with one hundred and ninety-seven (197) claims pending at the end of 2004, in the total amount of \$4,845,697.29. The Committee has taken steps to address the increased number of pending claims, including obtaining additional investigators to assist the Committee in reviewing claims that have been filed.

Respectfully submitted,

Joette Katz, Chair

Client Security Fund Committee

Attachment

## Awards of Reimbursement - 2005

| Respondent            | No. of Claims Approved | Amou | Amounts Awarded |  |
|-----------------------|------------------------|------|-----------------|--|
| Frederick D. Baldwin  | 2                      | \$   | 14,950.00       |  |
| Thomas W. Bucci, Jr.  | 1                      | \$   | 1,720.00        |  |
| Ronald M. Christensen | 2                      | \$   | 2,500.00        |  |
| James Curran          | 1                      | \$   | 1,000.00        |  |
| Douglas R. Daniels    | 11                     | \$   | 105,116.40      |  |
| David C. Evans        | 1                      | \$   | 45,000.00       |  |
| Douglas J. Gall       | 1                      | \$   | 1,600.00        |  |
| Bruce E. Guttman      | 1                      | \$   | 3,534.10        |  |
| William E. Hill       | 1                      | \$   | 12,500.00       |  |
| Robert A. Izzo        | 6                      | \$   | 6,096.25        |  |
| Rebecca L. Johnson    | 1                      | \$   | 14,200.00       |  |
| William M. Laviano    | 2                      | \$   | 37,773.50       |  |
| James A. McGee        | 1                      | \$   | 49,832.91       |  |
| Charles M. Rosenzweig | 2                      | \$   | 2,872.35        |  |
| Stephen N. Schaffer   | 2                      | \$   | 1,900.00        |  |
| Robert G. Skelton     | 7                      | \$   | 33,050.00       |  |
| Richard A. Williams   | 2                      | \$   | 7,500.00        |  |
| Alberto V. Zappala    | 6                      | \$   | 48,554.30       |  |
|                       | 50                     | \$   | 389,699.81      |  |